



قطر تستحق الأفضل
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هيئة الأشغال العامة
PUBLIC WORKS AUTHORITY

INFRASTRUCTURE AFFAIRS

**PREQUALIFICATION DOCUMENT FOR
PROCUREMENT OF DESIGN, BUILD, OPERATE
AND MAINTAIN CONTRACT
FOR**

**MUSAIMEER PUMPING STATION &
OUTFALL PROJECT**

PROJECT ID: IA 14/15 C 015 G

**PART 1 – PRE QUALIFICATION
PROCEDURES**

**Public Works Authority
PO Box 22188
Doha
State of Qatar**

May 2014

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SECTION I: INSTRUCTIONS TO APPLICANTS

A. General

- 1. Scope of Application**
 - 1.1. This Prequalification Invitation document as indicated in Section II, Application Data Sheet (ADS), is issued by the Authority (Ashghal [Public Works Authority]), to Applicants interested in tendering for the Scope of Works as described in Part 2 – Work Requirements.
- 2. Source of Funds**
 - 2.1. The Scope of Works is funded directly by the Government of the State of Qatar.
- 3. Corrupt & Fraudulent Practices**
 - 3.1. The Authority requires that Applicants observe the highest standard of ethics during the procurement and execution of the scope of works. In pursuit of this policy, the Authority:
 - (a) defines, for the purposes of this provision, the terms set forth below as follows:
 - i. **“Corrupt Practice”** shall mean the offering, giving, receiving, or soliciting of anything of value to influence the action of a Public Official in the procurement process or in contract execution; and
 - ii. **“Fraudulent Practice”** shall mean a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Authority, and includes collusive practices among Applicants (prior to or after tender submission) designed to establish tender prices at artificial, non-competitive levels and to deprive the Authority of the benefits of free and open competition;
 - (b) may, at its sole discretion, reject a proposal for prequalification if it determines that the Applicant recommended for prequalification has engaged in Corrupt or Fraudulent Practices in competing in the Tendering processes for the these works.
- 4. Eligible Applicants**
 - 4.1. An Applicant may be a private, public or government owned legal entity or any combination of them, with the formal intent to enter into a joint venture agreement, or under an existing joint venture agreement (refer to Section III.C.1 for further requirements).
 - 4.2. Prequalification will be based on a combination of “pass-fail” criteria along with a scoring system of the Applicant’s qualifications as demonstrated by the Applicant’s responses in the attached Prequalification Application Submission Sheets and other requested documentation. Specific

requirements for joint ventures are also set forth in Section III, Qualification Criteria. The Authority reserves the right to waive minor deviations from the criteria, if they do not materially affect the capability of an Applicant to perform the work.

- 4.3. The Applicant that is under a declaration of ineligibility by the Authority in accordance with ITA 3.1, as at the date of submission of the Prequalification Application or thereafter, shall be disqualified.
- 4.4. Government-owned entities in Qatar shall be eligible only if they can establish that they are legally and financially autonomous, and operate under commercial law, and that they are not dependent agencies of the Authority.
- 4.5. Applicants shall provide such evidence of their continued eligibility satisfactory to the Authority, as the Authority shall reasonably request.
- 4.6. If any conflict of interest or potential conflict of interest between Applicants, their subcontractors or advisers and those of the Authority becomes apparent, Applicants shall immediately inform the Authority, when the Authority shall, at its absolute discretion, decide on the appropriate course of action. If the Authority becomes aware of any conflict of interest or potential interest that the Applicant has not declared to the Authority, the Authority may at its absolute discretion disqualify the Applicant from consideration.

5. Eligible Goods and Related Services

- 5.1. In preparation of the Prequalification Document Applicants shall be aware of and observe the Government of the State of Qatar's rules and regulations.

B. Contents of the Prequalification Document

6. Sections of Prequalification Document

- 6.1. The document for the prequalification of Applicants (hereinafter, "Prequalification Document") consists of Parts 1 and 2 which comprise all the sections indicated below, and should be read in conjunction with any addenda issued in accordance with ITA 8.

PART 1 - Prequalification Procedures

Section I: Instructions to Applicants (ITA)
Section II: Application Data Sheet (ADS)
Section III: Qualification Criteria
Section IV: Application submission sheet and Forms

PART 2. Works Requirements

This Section contains Project Design Brief and Drawings to indicate the scope of the project.

- 6.2. The Authority accepts no responsibility for the completeness of the Prequalification Document and its addenda unless they were

obtained directly from the Authority.

6.3. The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or documentation required by the Prequalification Document.

7. Clarification of Prequalification Document

7.1. Applicants requiring clarification of the Prequalification Document shall contact the Authority in writing at the address indicated in the ADS. The Authority shall respond in writing to any request for clarification provided that such request is received no later than one (1) week before the deadline for submission of Applications. The Authority shall communicate to all Applicants full details of the clarification but without identifying its source. Should the Authority deem it necessary to amend the Prequalification Document as a result of a clarification, it shall do so following the procedure outlined in ITA 8 and in accordance with the provisions of ITA 17.2.

8. Amendment of Prequalification Document

8.1. At any time prior to the deadline for submission of Applications, the Authority may amend the Prequalification Document by issuing Addenda.

8.2. Any Addendum issued shall form part of the Prequalification Document and shall be communicated in writing to all Applicants.

8.3. To give prospective Applicants reasonable time to take an addendum into account in preparing their Applications, the Authority may, at its discretion, extend the deadline for the submission of Applications.

c. Preparation of Applications

9. Cost of Applications

9.1. The Applicant shall bear all costs associated with the preparation and submission of its Application. The Authority shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

10. Language of Application

10.1. The Application as well as all correspondence and documents relating to the Prequalification exchanged by the Applicant and the Authority, shall be written in the language specified in the ADS. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified in the ADS, in which case, for the purposes of interpretation of the Application, the translation shall govern.

11. Documents Comprising the Application

11.1. The Application shall comprise the following:

- a. Application Submission Sheet, in accordance with ITA 12;
- b. Documentary evidence establishing the Applicant's eligibility to prequalify, in accordance with ITA 13;

- c. Documentary evidence establishing the Applicant's qualifications, in accordance with ITA 14; and
- d. Any other document required as specified in the ADS.

12. Application Submission Sheet

12.1. The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV: Application submission sheet and Forms. This form shall be completed without any alteration to its format.

13. Documents Establishing the Eligibility of the Applicant

13.1. To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Sheet and Forms ELI 1.1 and 1.2, included in Application submission sheet and Forms.

14. Documents Establishing the Qualifications of the Applicant

14.1. To establish its qualifications to perform the scope of works in accordance with Section III, Qualification Criteria, the Applicant shall provide the information requested in Section IV, Prequalification Application Submission Sheet and Forms.

15. Signing of the Application and Number of Copies

15.1. The Applicant shall prepare one (1) original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person, or persons, duly authorised to sign on behalf of the Applicant. In the case of JV, all Parties must sign the documents.

15.2. The Applicant shall submit one (1) copy of the signed original Application, and clearly mark it "COPY". In the event of any discrepancy between the original and the copy, the original shall prevail.

15.3. The two (2) hard copies of the Application should consist of loose sheets of paper filed in hard cover ring binders and shall not exceed 250 type written pages, as defined in ITA 31. Plastic comb binding should not be used for Applications.

D. Submission of Applications

16. Sealing and Identification of Applications

16.1. The Applicant shall enclose the original and the copy of the Application in a sealed envelope or container that shall:

- a. bear the name and address of the Applicant;
- b. be addressed to the Authority, in accordance with ITA 17.1; and
- c. bear the specific identification of this prequalification as indicated in the ADS (ITA 1.1).

16.2. In addition to the hardcopies, the Applicant shall submit two softcopies on labelled CDs enclosed with the original document indicated in the ADS. The label should be titled as "Prequalification Application for Musaimeer pumping station and outfall project" and the Applicant's name. No boxes or notebooks are to be submitted.

16.3. The Authority shall accept no responsibility for not processing

any envelope/container that was delivered unsealed or not identified in full accordance with the requirements of the Prequalification Document.

17. Deadline for Submission of Applications

17.1. Applications shall be received by the Authority at the address, and no later than the submission closing date as indicated, in Section II - ADS (ITA 17.1).

17.2. The Authority may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification Document in accordance with ITA 8, in which case all rights and obligations of the Authority and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

18. Late Applications

18.1. Any Application received by the Authority after the deadline for submission of Applications prescribed in ITA 17 may, at the sole discretion of the Authority, be rejected as non-compliant and remain unopened.

19. Opening of Applications

19.1. The Authority shall prepare a record of the opening of Applications, and this shall include, as a minimum, the name of the Applicant. A copy of the record shall be kept by the Authority.

E. Procedures for Evaluation of Applications

20. Confidentiality

20.1. Information relating to the evaluation of Applications, and recommendation for prequalification, shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of the outcome of the prequalification process is made to all Applicants.

20.2. From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact the Authority on any matter related to the prequalification process, may do so, but only in writing.

21. Clarification of Applications

21.1. After the Application submission closing date, the Authority may ask any Applicant for a clarification. The Applicant shall submit, to the address indicated in the ADS (ITA 17.1), its reply within three (3) calendar days from receipt of the clarification request, or by the date and time set in the Authority's request for clarification. Any request for clarification and all replies shall be in writing.

21.2. If an Applicant does not provide the clarification as requested within three (3) days after the clarification date or by the date and time set in the Authority's request for clarification, its Application may, at the sole discretion of the Authority, be rejected as non-compliant.

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- 22. Responsiveness of Applications** 22.1. The Authority may reject any Application which does not address the requirements of the Prequalification Document.
- 23. Domestic Tenderer Price Preference** 23.1. Unless otherwise specified in the ADS, a margin of preference for domestic Applicants shall not apply in the tendering process resulting from this prequalification.
- 24. Sub-contractors** 24.1. Applicants planning to sub-contract any of the key activities indicated in Section III, Qualification Criteria, shall specify the activity or parts of the scope of works to be sub-contracted in the Application Submission Sheet and clearly identify such activities and the proposed specialist sub-contractor(s) in Form ELI-1.2 in Section IV. Such proposed specialist sub-contractor(s) shall meet the corresponding qualification requirements specified in Section III, Qualification Criteria.
- 24.2. The Authority does not intend to let contracts for certain specific parts of the scope of works with contractors selected in advance by the Authority. That is, there will be no Nominated Contractors, unless otherwise stated in the ADS.

F. Evaluation of Applications and Prequalification of Applicants

- 25. Evaluation of Applications** 25.1. The Authority shall use all the factors, methods and criteria defined in Section III, Qualification Criteria to evaluate the qualifications of the Applicants and any specialist sub-contractors. The Authority reserves the right to consider other factors or waive minor deviations in the qualification criteria if they do not materially affect the capability of an Applicant to perform the scope of works.
- 25.2. Only the qualifications of specialist sub-contractors that have been identified in the Application may be considered in the evaluation of an Applicant. However, the general experience and financial resources of sub-contractors may not be added to those of the Applicant for purposes of prequalification of the Applicant.
- 25.3. Unless otherwise indicated in the ADS, this Prequalification Document shall be for single contract of Musaimeer pumping station and outfall project only.
- 26. Authority's Right to Accept or Reject Applications** 26.1. The Authority reserves the right to accept or reject any Application, and to annul the Prequalification Process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 27. Prequalification of Applicants** 27.1. Applicants whose applications have met or exceeded the specified threshold criteria shall, to the exclusion of all others, be prequalified by the Authority. The Authority reserves the right to invite a short-list of qualified Applicants to Tender.
- 28. Notification of Prequalification** 28.1. Once the Authority has completed the evaluation of the Applications, it shall notify Applicants in writing if they have been

prequalified for invitation to Tender or not.

29. Invitation to Tender

29.1. After the notification of the results of the prequalification, the Authority shall invite Tenders from the short-listed Applicants that have been prequalified for the scope of works.

29.2. Tenderers shall be required to provide tender security acceptable to the Authority in the form and in the amount specified in any subsequent tender documents. Also, the successful tenderers shall be required to provide a performance security in the form and in the amount specified in any subsequent tender documents.

30. Changes in Qualifications of Applicants

30.1. Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27, and invited to tender for the project shall be subject to written approval of the Authority. Such approval shall be denied if, as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III, Qualification Criteria, or, if in the opinion of the Authority, a substantial reduction in competition may result. Any such changes shall be submitted to the Authority not later than fourteen (14) calendar days after the date of the Invitation to Tender.

31. Submissions

31.1. Applicants must not exceed two hundred and fifty (250) type written pages in their Applications. This page limit does not apply to attachments specifically requested in the Application Submission Forms, such as Articles of Incorporation or independently audited financial statements. For this purpose, "page" means "one (1) side of A4 paper". Applicants should print on both sides of each sheet of paper and use only A4 sheets. Text must be only typed in "Arial" font and be no smaller than 11 point, single-spaced with the margins set at 2.5 cm. Page header or footer information may be placed in the margin space. Where provisions are made for the answers, they must be typed in the relevant boxes/space provided in this document. No additional attachments are permitted other than any specific information requested (for example the audited financial information, etc.).

31.2. All written Applications, submissions, questions, queries, communications and the like between Applicants and the Authority must be in English. Applicants must answer all questions as accurately and concisely as possible, and monetary values must be stated in Qatari Riyals (QAR). Failure to furnish the required information, make a satisfactory response to any question or supply required documentation will have an impact on the Applicant's score or the Applicant may not be invited to participate further.

Note: The Applicant's attention is drawn to the following mandatory requirements:

The submission must be delivered as (2) ring bound hard copies (one (1) Original & one (1) Copy and two (2) soft

copies on Compact Disks (CD).

The size limit for the Applications is strictly two hundred and fifty (250) A4 sides. The page limit does not apply to attachments specifically requested in the Application Submission Forms.

No other documentation, including company brochures or any other additional information etc. should be included in the Application. Only include the specific information requested. No boxes, notebooks or lever arch files are to be submitted.

SECTION II: APPLICATION DATA SHEET	
A. Introduction	
ITA 1.1	The identification of the Invitation for Prequalification is: Application to prequalify for Design, Build, Operate and Maintain Contract for Musaimeer pumping station and outfall project
ITA 4.1	Multiple Partnerships of Local Commercially Registered Qatari Companies and in a JV are allowed. However the specific joint venture requirements set out in Part 1: Prequalification Procedures and Section III Qualification Criteria must be complied with.
B. Prequalification Document	
ITA 7.1	For clarification purposes only, the Authority's address is: As per the Advertisement
C. Preparation of Applications	
ITA 10.1	The language of the Application as well as of all correspondence is: English
ITA 15.1& 15.2	<u>In addition</u> to the Original, the number of copies to be submitted with the Application is: One (1) hardcopy and two (2) softcopies (on CDs).
D. Submission and Opening of Applications	
ITA 17.1	For application submission purposes only, the Authority's address is: As notified on the Ashghal website The Application submission closing date is: As notified on the Ashghal website
E. Evaluation of Applications	
ITA 23.1	A margin of preference for domestic tenderers shall be applied.
ITA 24.2	The Authority does NOT intend to execute certain specific parts of the scope of work by a Contractor selected in advance (Nominated Sub-Contractor). The specific parts of the scope of work and the respective contractors are: None

SECTION III: QUALIFICATION CRITERIA

A. General

Section III identifies the qualification criteria and compliance requirements that the Authority shall use to evaluate the Prequalification Applications. The associated application forms are contained in Section IV of this Prequalification Document. To be prequalified, an Applicant must demonstrate to the Authority that it substantially satisfies the requirements regarding experience, personnel, financial position and litigation history, specified herein.

The following terminology and definitions shall apply when used in conjunction with these qualification criteria:

- (a) **Main Contractor** – defined as the chief contractor who will enter into a direct contract with the Authority for a project and who will have full responsibility for project completion. A main contractor undertakes to perform a complete contract, and may employ (and manage) one (1) or more subcontractors to carry out specific parts of the contract.
- (b) **Lead Partner** – the party nominated by the Joint Venture as the lead entity of the partnership.
- (c) **Minimum value** – is either the total contract value, when the Applicant was acting as a sole contractor, or the share of the total contract corresponding to its share of the Joint Venture participation when the Applicant was a member of a Joint Venture team.
- (d) **The last ten (10) years** – this shall be understood as the period commencing on 1 January of the year ten (10) years prior to the current year up to the deadline for submission of the Applications.

B. Compliance Summary Table

The following table summarises the information that Authority will use to evaluate the Prequalification Applications:

- Qualification criteria;
- Compliance requirements; and
- Associated prequalification forms

Further details regarding the qualification criteria are presented in the following Subsection C.

Compliance Summary Table							
Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Ventures			Submission Requirements
				All Parties Combined	Each Party	One Party	
1. Eligibility							
1.1	Conflict of Interest	No conflicts of interest, as described in ITA Sub-Clause 4.6	Must meet requirement	Existing or intended JV must meet requirement	Must meet requirement	N / A	Application Submission Sheet – Question (b)
1.2	Authority Ineligibility	Not having been declared ineligible by the Authority, as described in ITA Sub-Clause 4.5	Must meet requirement	Existing JV must meet requirement	Must meet requirement	N / A	Application Submission Sheet – Question (c)
1.3	Government Owned Entity	Applicant required to meet conditions of ITA Sub-Clause 4.4	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Application Submission Sheet – Question (d)
1.4	Applicant Details	Applicant to provide background details	Applicant must complete				Forms ELI – 1.1 and ELI – 1.2
2. Licenses and Registrations							
2.1	Local Commercial Registrations	Company must have commercial registration with the State of Qatar. In the case of a Joint Venture, a completed Declaration to Commit to Registration process	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form REG – 2.1

Compliance Summary Table							
Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Ventures			Submission Requirements
				All Parties Combined	Each Party	One Party	
3. Declaration							
3.1	Pre-Tendering Declaration for Joint Venture	Joint Venture Declaration	N/A	Must meet requirement	Must meet requirement	N/A	Form DC -.3.1
4. Historical Contract Non-Performance							
4.1	History of Non-Performing Contracts	Non-performance of a contract did not occur within the last two (2) years prior to the deadline for application submission based on all information on fully settled disputes or litigation	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 4.1
4.2	Failure to Sign a Contract	Failure to sign a contract after submitting a Tender security has not occurred in the past five (5) years. Any deviation should be explained in the enclosed Contract Non-Performance form	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Form CON – 4.1
4.3	Pending Litigation	All pending litigation, arbitration, mediation or adjudication shall not	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 4.1

Compliance Summary Table							
Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Ventures			Submission Requirements
				All Parties Combined	Each Party	One Party	
		represent in total more than 25% of the Applicant's net worth and must be treated as resolved against the Applicant					
5. Financial Situation							
5.1	Financial Performance	Submission of independently audited financial statements (in English) for the last three (3) years indicating the breakdown of local and international turnover	Must meet requirement	N/A	Must meet requirement	N/A	Form FIN – 5.1
5.2	Financial Performance	Current soundness of the Applicant's financial position and its prospective long term profitability as measured by average coefficient of current ratio (Current Assets/Current Liabilities)	Must meet requirement	N/A	Must meet requirement	N/A	Form FIN – 5.1
5.3	Financial Performance	Current soundness of the Applicant's financial position & its prospective	Must meet requirement	N/A	Must meet requirement	N/A	Form FIN – 5.1

Compliance Summary Table							
Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Ventures			Submission Requirements
				All Parties Combined	Each Party	One Party	
		long term profitability as measured by average coefficient of debt ratio (Total Debt / Total Assets)					
5.4	Financial Performance	Minimum annual turnover (in accordance with requirements of Section III.C.5)	Must meet requirement	Must meet requirement	N/A	Must meet 55% of the requirement	Form FIN – 5.2
5.5	Financial Performance	Applicant's ability to undertake further works with the current contract commitments.	Must meet requirement	Must meet requirement	N/A	Must meet requirement	Form FIN – 5.3
6. Experience							
6.1	Technical Experience	The Applicant shall demonstrate its technical expertise and its successful track record in completing projects similar in scope of services as defined in Part 2, Appendix A of this Invitation, which the Applicant had overall delivery responsibility and in accordance with the requirements stated	Must meet requirement	N/A	N/A	Must meet requirement	Form EXP – 6.1

Compliance Summary Table							
Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Ventures			Submission Requirements
				All Parties Combined	Each Party	One Party	
		under Section III.C.6 of this document.					
7. Personnel Capabilities							
7.1	Organisational Structure	Provide Organisational Structure for delivering project, including defining role, responsibilities and description of roles	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP – 7.1
7.2	Personnel Capabilities	Provision of suitably qualified personnel to fill the key positions as per Section III.C.7 and Form EXP-7.2	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP – 7.2
7.3	Proposed Staff CVs	Provide CVs for all Key Personnel as identified under Section III.C.7 of this document	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP – 7.3
8. Project Assurances							
8.1	Health, Safety & Environment Plan	Evidence of Health, Safety & Environment Qualification	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form HSE– 8.1

Compliance Summary Table							
Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Ventures			Submission Requirements
				All Parties Combined	Each Party	One Party	
8.2	Quality Management Plan	Evidence of a Quality Management System related to the Scope of Work	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form QM – 8.2
9. Resources, Plant & Equipment							
9.1	Resources, Plant & Equipment	Provide details of Resources and Plant & Equipment in the Company's ownership	Must meet requirement	Must meet requirement	N/A	N/A	Form RS – 9.1
10. Management Approach							
10.1	Management Approach Questions	Provide sufficiently detailed responses to the management approach questions included on Form MAQ-10.1	Must meet requirement	Must meet requirement	N/A	N/A	Form MAQ – 10.1

C. Qualification Criteria

The Applicant shall meet the following criteria which supplement the compliance requirements listed in the Compliance Summary Table in Section III, Subsection B.

1. Eligibility

- (a) Not used.

2. Licences and Registrations

- (a) Applicants should also be registered in one of the professional or trade registers in the country of their principal place of business and relevant to the nature of this prequalification.
- (b) Applicants should note that in addition to successful prequalification they will be required to meet Qatari Registration requirements in order to tender.

3. Declaration

- (a) Where an Applicant represents an existing Joint Venture or has formed a new Joint Venture for a project, evidence of a pre-tendering agreement for the Joint Venture will be required.
- (b) The points noted below summarise the requirements for Joint Ventures and the parties within Joint Venture agreements, with reference to the other subsections of Section III.
 - For Joint Ventures, the Lead Partner, undertaking more than 40% of the contract value and other partners must each satisfy the respective minimum qualification requirements, indicated under Section III, Subsections B and C.
 - The Joint Venture must satisfy collectively the criteria for personnel capability and financial position stated.
 - Individual partners must each satisfy the requirements for audited balance sheets and litigation.
 - The full physical requirements for comparable nature and complexity shall be met collectively by the Joint Venture.

4. Historical Contract Non-Performance

- 4.1 History of Non-Performing Contracts: The Applicant shall provide accurate information that non-performance of a contract did not occur within the last two (2) years prior to the deadline for Application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Applicant have been exhausted.
- 4.2 Failure to Sign Contract: The Applicant shall provide accurate information regarding its failure to sign a contract after submitting a tender security and certify that this has not occurred in the past five (5) years. Any deviation should be explained in the referenced application form.

- 4.3 **Litigation History:** The Applicant shall provide accurate information on any current or past litigation, arbitration, mediation or adjudication resulting from contracts completed or under execution by him over the last two (2) years. A consistent history of settlement awards against the applicant or any partner of a joint venture may result in failure of the application.

5. Financial Situation

- (a) The audited balance sheets for the last three (3) years shall be submitted and must demonstrate the soundness of the Applicant's financial position, showing their long-term profitability. Where necessary, the Authority will make inquiries with the Applicant's bankers.
- (b) Based on its audited balance sheets, the Applicant shall demonstrate the current soundness of the Applicant's financial position and its prospective long term profitability as measured by their average coefficient of Current Ratio (Current Assets/Current Liabilities). The Current Assets/Current Liabilities ratio shall be ≥ 1.5 .
- (c) Based on its audited balance sheets, the Applicant shall demonstrate the current soundness of the Applicant's financial position and its prospective long term profitability as measured by their average coefficient of Debt Ratio (Total Debt/Total Assets). The Total Debt/Total Assets ratio shall be ≤ 0.66 .
- (d) The Main Contractor or the combined parties comprising the Joint Venture shall have a minimum average annual turnover (defined as billing for works in progress and completed) over the last three (3) years of QAR 1000 million.

6. Experience

- (a) The Applicant shall demonstrate its technical expertise and its successful track record in completing projects similar in scope of work as delineated in Part 2, Appendix A of this Prequalification Invitation, within the last ten (10) years, for which the Applicant had overall delivery responsibility. The last ten (10) years shall be understood as the period commencing on 1 January 2003 up to the deadline for submission of the Applications.
- (b) The Applicant shall submit full details of projects using the experience requirements and guidelines presented in the following table for projects that the Applicant considers to be similar in nature to the Musaimeer pumping station and outfall project scope, using Form EXP – 6.1.

ID	Experience	Criteria
1	Length of experience 10 years as a prime Civil Engineering or Electrical/ Mechanical Contractor in the construction of pumping stations.	Minimum 10 years Prime Civil Engineering Contractor doing similar work continually for more than 10 years and minimum annual turnover of QAR180 million
2	Length of experience 10 years as Electrical/ Mechanical Contractor in the construction of pumping stations.	Minimum 10 years Prime Electrical/ Mechanical Contractor doing similar work continually for more than 10 years and minimum annual turnover of QAR80 million

3	Experience in the design of large pumping stations for storm water or wastewater:	<ol style="list-style-type: none"> 1. Designed within the last 5 years at least three storm water or wastewater pump stations. 2. Designed within the last 5 years at least one pump station with capacity of 10m³/s or more and static lift of at least 10m. 3. Engineering design consultant firm has a minimum of 20 years of engineering experience in the design of municipal infrastructure for storm water and wastewater. 4. Experience in the design of larger pumping station will be an advantage.
4	Experience in the design of marine outfalls for storm water, wastewater, and or treated effluent:	<ol style="list-style-type: none"> 1. Designed within the last 5 years at least two marine outfalls with capacity of 5m³/s or more, and longer than 1000m. 2. Designed within the last 5 years at least one marine outfall with capacity of 10m³/s or more, and longer than 1000m. 3. Engineering design consultant firm has a minimum of 15 years of marine outfall/intake design experience. 4. Experience with larger and longer outfall pipes or intake pipelines will be an advantage.
5	Experience in the construction of large storm water and wastewater pumping stations:	<ol style="list-style-type: none"> 1. Constructed within the last 5 years, two pumping stations with capacity of 10m³/s or more, and static lift of +10m. 2. Constructed within the last 5 years at least one pumping station with capacity of 5m³/s or more, and static lift of at least 10m within the Gulf Region. 3. Experience with concrete construction for large water containing structures, such as pumping station wet wells, water treatment process tanks, and water storage tanks. Constructed within last 5 years at least two large structures with the bottom slab at least 15 m below surface. 4. Experience with the construction of larger pumping station will be an advantage.
6	Experience in excavation and earthwork construction where groundwater inflow has occurred requiring dewatering:	<ol style="list-style-type: none"> 1. Minimum of three project within the last 5 years each with more than 60,000m³ of excavation and dewatering duration more than 3 months; 2. Minimum of one project in the Gulf Region with the last 5 years.
7	Experience in the construction of large marine outfalls including experience with: installing pipes 1400mm diameter and larger; installing multiple pipe outfalls; trench and cover outfall construction; diffuser installation; and marine	<ol style="list-style-type: none"> 1. Constructed within the last 10 years at least four marine outfalls, each at least 1,000m long in the water and pipe diameters greater than 900mm. 2. Constructed within the last 10 years at least one multiple pipe marine outfall with design capacity of 10m³/s or more, at least 1000m long in the water and pipe diameters greater than 1400mm. 3. Experience with larger and longer outfall pipes or intake

	environmental mitigation.	pipelines will be an advantage.
8	Experience in the reliable Operation and Maintenance of pump stations, post construction:	<ol style="list-style-type: none"> 1. Operated and maintained at least 4 pumping station with capacity greater than 5m³/s within the last 10 years. 2. Minimum of 10 years continuous experience in the management, operation and maintenance of pumping stations (storm water and/or wastewater) with capacity greater than 5m³/s for a period of at least 1 year (each pump station).
9	Alternative outfall construction method experience, design and construction. Installing large capacity marine outfall using acceptable construction method in place of the method described in item 7 above; including diffuser installation, and marine environmental mitigation.	<ol style="list-style-type: none"> 1. Constructed within the last 10 years at least two marine outfalls each of with longer than 1,600m in the water and diameters and design capacity of 5m³/s or more. 2. Constructed within the last 10 years at least one marine outfall with design capacity of 10m³/s or more, and length of 2000m or more. 3. Experience with larger and longer outfall pipes or intake pipelines will be an advantage. <p>(If used, will replace ID 7 above).</p>

- (c) **Note that Authority may contact the client for each reference project submitted by the Applicant.**

7. Personnel Capabilities

- (a) The Applicant must clearly demonstrate its ability to assign competent personnel to the contract and that they possess relevant experience on past projects similar in scope and size to the Contract, as delineated in Part 2, Appendix A, of this invitation.
- (b) To demonstrate its understanding of the staffing requirements of the project, the Applicant shall submit a project organisational structure in the form of a diagram with explanatory notes as required in Form EXP – 7.1. The organisation structure should:
 - Identify key positions
 - Identify specific roles and a brief list of responsibilities of each position
 - Show lines of authority and reporting relationships,
 - Identify key positions to be held by each Joint Venture partner (if applicable)
 - Identify key skills, qualifications, experience for each position
- (c) To demonstrate the Applicant's compliance with these criteria, the Applicant shall submit professional Curricula Vitae (CVs) for staff who meet the stated requirements for the key positions presented in the following Key Personnel Table.

- (d) For specific positions essential to implementation of this Contract, Applicants shall provide the names of at least two (2) candidates qualified to meet the specific requirements stated for the positions included on Form EXP – 7.2.
- (e) For each key position presented in the Key Personnel Table, the Applicant shall supply information (in Form EXP – 7.3) on a first choice candidate and if so designated in Form EXP- 7.2 on a suitable alternate each of whom should meet the experience requirements specified in the key personnel table.
- (f) Successful Applicants will be obliged to offer these personnel or equivalent in their tender.

Key Personnel Table					
Position	Years of Experience				
	Total	In Similar Works	As Manager or Technical Lead (as appropriate) of Similar Works	Experience in the Gulf	
Project Manager for Design and Construction	20	15	10	Preferred	
Contractor Quality Control System Manager	20	15	10	Preferred	
Design Phase					
Chief Design Engineer (Design Manager)	20	15	10	Preferred	
Lead Pump Station Design Engineer	20	15	7	Preferred	
Lead Outfall Design Engineer	20	15	5	Preferred	
Construction Phase					
Construction Site Manager – Pumping Station	20	15	10	Preferred	

Key Personnel Table					
Position	Years of Experience				
	Total	In Similar Works	As Manager or Technical Lead (as appropriate) of Similar Works	Experience in the Gulf	
Construction Site Manager - Outfall	20	10	7	Preferred	
Start-up & Commissioning Manager	15	10	5	1	
Operations and Maintenance Phase					
O & M Manager	20	10	5	2	

8. Project Assurances

- (a) The Authority requires that all work undertaken on its behalf is carried out safely for all parties and with particular regard to the welfare and wellbeing of its employees and workers when operating on sites and other areas under the management of the Contractor. The Applicant shall state how it will allocate adequate resources to enable it to fulfil its statutory obligations for Health, Welfare and Safety. In this regard, the Applicant shall provide evidence in the form of an existing written Health, Welfare & Safety Policy and shall provide the information requested on Form HSE – 8.1. Additionally, the Applicant shall provide details of their requirements for internal welfare arrangements or external welfare arrangements where it draws on significant labour from labour agencies within the State of Qatar.
- (b) The Authority additionally is committed to ensure a high quality of life for the State of Qatar’s citizens by protecting the environment and by delivering its services in a way that respects the earth’s natural ecosystems. The Authority aims to work with its contractors and suppliers to help them improve their environmental performance and ensure that, when working for the Authority, they adopt equivalent environmental standards. The Authority therefore expects its contractors to show evidence of their commitment to the environment by having an environmental policy, which has been endorsed, at the highest level in the company. As a minimum, the Applicant must demonstrate that it has an established and written Environmental Management Policy and provide the information requested on Form HSE – 8.1.
- (c) The Authority expects its contractors to demonstrate their commitment to maintaining a rigorous Quality Management System, which is endorsed at the highest level in the company. As a minimum, the Applicant must provide evidence of any quality certifications that it possess and to provide the information requested on Form QM – 8.2.

9. Resources, Plant & Equipment

- (a) The Applicant is to provide evidence that it possesses, or has access to, sufficient qualified resources and equipment, available, or who will be become available if the Applicant's tender is successful, to deliver the project for which seeks Prequalification. In this regards, as a minimum the Applicant must provide the information requested on Form RS – 9.1.

10. Management Approach

- (a) This series examines the Applicant's approach to the management of similar contracts. The Applicant is therefore required to answer the management approach questions included on Form MAQ – 10.1.

SECTION IV: PREQUALIFICATION APPLICATION SUBMISSION SHEET AND FORMS

Checklist for Prequalification Application Submission

- 1.1 The checklist is to be completed by individual companies and all designated JV Partners.
- 1.2 This checklist is important as it will serve as a checklist of the submitted documents for evaluation purposes. Any missing information will have an impact on the Applicant's score.
- 1.3 Other than the information requested, other documentation, including brochures about the Applicant's company, additional information etc. must not be included in the Application.

The Applicant should include this Checklist at the front of their Prequalification Submission			
Applicant Name:			
Applicant Reference No			
Date & Time Submitted			
Documents Submitted			
Please use the following symbols to indicate whether forms and documents have been included in your submission :			
	Symbol		Symbol
Form is present	✓	Form is not present	✘
Series No.	Category		Form Present
1	Eligibility Requirements		
1.1	Conflict of Interest – Application Submission Sheet		
1.2	Authority Ineligibility – Application Submission Sheet		
1.3	Government Owned Entity – Application Submission Sheet		
1.4	Applicant Details – Forms ELI – 1.1. and ELI - 1.2		
2	Licenses and Registrations		
2.1	Company Registration in Qatar - Form REG – 2.1		
3	Declaration		
3.1	Pre-Tendering Declaration for JV formation - Form DC-3.1		

Series No	Category	Form Present
4	Historical Contract Non-Performance	
4.1	History of Non Performance - Form CON – 4.1	
4.2	Failure to Sign a Contract - Form CON – 4.1	
4.3	Pending Litigation - Form CON – 4.1	
5	Financial Situation	
5.1	Audited Financial Statements - Form FIN – 5.1	
5.2	Annual Turnover - Form FIN – 5.2	
5.3	Current Contract Commitments - Form FIN – 5.3	
6	Experience	
6.1	General Experience - Form EXP- 6.1	
7	Personnel Capabilities	
7.1	Organisational Structure - Form EXP- 7.1	
7.2	Personnel Capabilities - Form EXP- 7.2	
7.3	Proposed Staff CVs - Form EXP- 7.3	
8	Project Assurances	
8.1	Health Safety & Environment Plan - Form HSE – 8.1	
8.2	Quality Management Plan - Form QM – 8.2	
9	Resources, Plant & Equipment	
9.1	Resources, Plant & Equipment - Form RS – 9.1	
10	Management Approach	
10.1	Management Approach Questions Form MAQ – 10.1	

Application Submission Sheet

(to be completed by Individual Company or by all parties of the JV)

Date: _____

To: Public Works Authority

We, the undersigned, apply to be prequalified for the following project:

Project: DESIGN, BUILD, OPERATE AND MAINTAIN CONTRACT FOR MUSAIMEER PUMPING STATION AND OUTFALL PROJECT

We, the undersigned declare that:

- (a) We have examined and have no reservations to the Prequalification Document, and all other documents issued in accordance with ITA Clause 8:
- (b) We, including any Sub-contractors for any part of the scope of supplies subject to this Prequalification do not have any conflict of interest, in accordance with ITA Sub-Clause 4.6;
- (c) We, including any Sub-contractors for any part of the scope of supplies subject to this Prequalification, have not been declared ineligible by the Authority's nor State of Qatar's laws or official regulations;
- (d) We are not a government owned entity.
- We are a government owned entity and we meet the requirements of ITA Sub-Clause 4.4.
- (e) We, in accordance with ITA Sub-Clause 24.1, plan to subcontract the following key activities and/or parts of the Works:

Activities	Sub-contractor

- (f) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to tender for the contract subject of this Prequalification, without incurring any liability to the Applicants, in accordance with ITA Clause 26.

Main Contractor or Lead Partner

Signed: _____

Name: _____ in the capacity of _____

Who is duly authorised to sign the Application for, and on behalf of:

Applicant's Legal Name _____

Address _____

Dated on _____ day of _____ 2014

Joint Venture Partner (2)

Signed: _____

Name: _____ in the capacity of _____

Who is duly authorised to sign the Application for, and on behalf of:

Applicant's Legal Name _____

Address _____

Dated on _____ day of _____ 2014

Joint Venture Partner (3)

Signed: _____

Name: _____ in the capacity of _____

Who is duly authorised to sign the Application for, and on behalf of:

Applicant's Legal Name _____

Address _____

Dated on _____ day of _____ 2014

Form ELI – 1.1

Applicant Information Sheet

(to be completed by Main Contractor or the JV)

Date: _____

**Project: DESIGN, BUILD, OPERATE AND MAINTAIN CONTRACT FOR MUSAIMEER
PUMPING STATION AND OUTFALL PROJECT**

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Applicant's Legal Name

In case of JV, legal name of JV

Applicant's actual or intended country of constitution

Applicant's actual or intended year of constitution

Legal status of Applicant

Parent Company (if the Applicant is a subsidiary
company, give the name and address of the parent
company or companies together with the details of
affiliation status - % of shareholding)

Number of Years of experience of the Applicant

In the State of Qatar

In countries other than the State of Qatar

Number of Years of experience of the Parent
Company

In the State of Qatar

In countries other than the State of Qatar

Market area covered: National / Regional /
International. If Regional or International, list
countries of operation

Applicant's authorised representative information

Name:

Address:

Telephone/Fax numbers

Email address

Attached are copies of the following documents:

- 1. In case of a single entity, Articles of Incorporation or documents of Constitution of the legal entity named above (maximum 4 sides of A4 only).
- 2. In the case of a JV, the Pre-Tender Declaration in accordance with ITA 4.2.
- 3. In the case of a government-owned entity, any additional documents not covered under 1 above required to comply with ITA 4.4 (maximum 4 sides of A4 only).

Form ELI – 1.2

Applicant Information Sheet as per ITA 24.1

(to be completed separately by EACH JV Partners Sub-contractors)

Date: _____

Project: DESIGN, BUILD, OPERATE AND MAINTAIN CONTRACT FOR MUSAIMEER PUMPING STATION AND OUTFALL PROJECT

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Note that in the case of a JV the evaluation committee will take into consideration, the percentage of the joint venture individual JV Partners shall be undertaking.

Applicant's Legal Name

JV's party/Sub-contractor legal name

JV's party/Sub-contractor country of constitution

JV's party/Sub-contractor year of constitution

JV's party/Sub-contractor legal address in country of
constitution

JV's party/Sub-contractor authorised representative information

Name:

Address:

Telephone/Fax numbers

Email address

Attached are copies of the following documents:

- 1. Articles of Incorporation or documents of Constitution of the legal entity named above (One (1) A4 sheet only)
- 2. In the case of a government-owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 4.4 (One (1) A4 sheet only)
- 3. In the case of a Joint Venture, the Pre –Tender Agreement / Declaration in accordance with ITA 4.2

Form REG – 2.1

Company Registrations

- For items 1 to 3, See ITA 4.1
- To be completed by Main Contractor or by EACH JV Partner

1 Registration in home country (evidence to be provided as supporting information in the submission – One A4 sheet only)					
Year established		Country/Area where registered		Registration/License No.	
2 Registration in the State of Qatar, if it already exists (evidence to be provided as supporting information in the hard copy submission – One (1) A4 sheet only)					
Year established		Discipline of Registration		Registration/License No.	
3 If not registered in the State of Qatar, please provide details of working arrangement for delivering services in the State of Qatar (One A4 sheet only):					
Prospective sponsor's name		Address and Contact Numbers		Type of Business	
4 Sub-contractors, Overseas Representatives: (If applicable, please list out these other firms in regular participation with you for delivering works).					
Name		Specialisation	Base Location	Years in association	
5 If the Company/Firm is already in, or intends to form, a Joint Venture with one or more other companies/firms for the provision of works, please provide the following information:					
Name of JV partner(s)		Specialisation	Current Address	Contact Person	Contact Details

6 Fields of Specialisation of the Company/Firm/JV			
Major sector		List specific fields(s)	
a.			
b.			
c.			
d.			
7 Company or JV Structure:			
Detailed organization chart(s) to be provided as supporting information in the hard copy submission (One (1) A4 sheet only):			
(a) For the Company/JV submitting for pre-qualification showing the relationships with partners/sub-contractors.			
(b) For the parent company showing the relationship with the Company (if applicable).			
8 Working Language(s)			
		Yes	No
Arabic		<input type="checkbox"/>	<input type="checkbox"/>
English		<input type="checkbox"/>	<input type="checkbox"/>
Other (Specify)	_____	<input type="checkbox"/>	<input type="checkbox"/>
Other (Specify)	_____	<input type="checkbox"/>	<input type="checkbox"/>
Other (Specify)	_____	<input type="checkbox"/>	<input type="checkbox"/>
9 Firm's Membership of Professional Associations (if applicable):			
Professional Association	Acronym	Type Membership	of Member Since (year)

Form DC 3.1

Declaration of Willingness to Association

Each member of the Association shall complete a copy of this declaration

TO BE EXECUTED ON TENDERER'S LETTERHEAD

Tender for <Insert type of Services being Tendered>
<Insert Project Title>
<Insert Project ID>

Relevant Tender No#

<Insert name of Tender Administrator>

Tender Administrator

Public Works Authority

PO Box 22188

Doha, Qatar

DECLARATION OF WILLINGNESS TO ASSOCIATION

We <Insert name of association member>, hereby confirm by this Declaration of Willingness to Association our intent to enter into an Association Agreement and become a legally bound member of the <Insert official name of association> for the completion of the above referenced Project Services, should the Tender offer, submitted under the name of <Insert official name of association>, be successful and award for the Services be made to the association

Signature

.....

Name:

.....

Date:

.....

In the capacity of:<Insert
position>.....

Duly authorised to sign this Declaration of Willingness to Association for and on behalf of:

Name of association member:

Attested Power of Attorney duly notarised by a Notary Public empowering the signatory with copies of passports confirming identity attached.

Form CON – 4.1

Historical Contract Non –Performance

(to be completed by Main Contractor, or by **EACH** JV Partner)

Applicant’s Legal Name: _____ Date: _____

Project: DESIGN, BUILD, OPERATE AND MAINTAIN CONTRACT FOR MUSAIMEER PUMPING STATION AND OUTFALL PROJECT

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Non-Performing Contracts in accordance with Item 4.1 of Compliance Table in Section III, Subsection B of Qualification Criteria

- Contract non-performance did not occur during the two (2) years prior to the deadline for Application submission
- OR**
- Contract(s) not performed during the two (2) years prior to the deadline for Application submission

Year	Non performed portion of contract	Contract Identification	Total Contract Amount (current value, QAR equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of institution: <i>[insert full name]</i> Address of institution: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

Failure to Sign a Contract, in accordance with Item 4.2 of Compliance Table in Section III, Subsection B of Qualification Criteria

- No failure to sign a contract
- OR**
- Failure to sign a contract

In the event of failure to sign a contract, clarify/explain your situation according to Item 4.2 of Compliance Table in Section III, Subsection B of Qualification Criteria.

**Pending Litigation, in accordance with Item 4.3 of Compliance Table in Section III,
Subsection B of Qualification Criteria**

- No pending litigation exists
OR
 Pending litigation exists

Year	Outcome as % of Total Assets	Contract Identification:		Total Contract Amount (current value, QAR equivalent)
		Contract Identification:		
		Name of Employer:		
		Address of Employer:		
		Matter in dispute:		
		Contract Identification:		
		Name of Employer:		
		Address of Employer:		
		Matter in dispute:		

Form FIN – 5.1

Financial Situation

(to be completed by Main Contractor or by each JV Partner)

Applicant's Legal Name: _____ Date: _____

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Use a separate sheet for each partner

Financial Information in QAR				
	Year 2010	Year 2011	Year 2012	Average
Total Assets (TA)				
Total Liabilities (TL)				
Net Worth (NW)				
Accounts Receivable (AR)				
Current Assets (CA)				
Current Liabilities (CL)				
Total Revenue (TR)				
Operating Profit (EBIT)				
Net Revenue (NR)				
Current Assets/Current Liabilities(Current Ratio)				
Operating Profit/Total Revenue (Profit Margin)				
Total Revenue/Total Assets (Return on Assets)				
Total Liabilities/Total Assets (Debt Ratio)				

Attached are copies of independently audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- a) Must reflect the financial situation of the Applicant or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified accountant;
- c) Historic financial statements must be complete, including all notes to the independently audited financial statements;
- d) Historic financial statements must correspond to accounting periods already completed and independently audited (no statements for partial periods shall be requested or accepted);
- e) Note that in the case of a JV, the evaluation committee will take into consideration the individual financial standing of all JV Partners and the potential percentage of the joint venture they shall be undertaking.

Attached Additional Information:

- Auditor's name, address and fax number
- Financing agency's (if any) name, address and fax number.
- Backlog of works, similar to that being proposed, at the end of the last three (3) years and status to date.
- Bank guarantees issued and credit limits in the last three (3) years and status to date

* Based on the following exchange rates

Currency	Sum	Equivalent in Qatari Riyals
British Pound	£1	
Euro	€1	
US Dollar	\$1	

Form FIN – 5.2

Average Annual Turnover

(to be completed by Main Contractor or by EACH JV Partner)

Applicant's Legal Name: _____ Date: _____

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Average Annual Turnover Data in the State of Qatar (to be completed by individual companies of Qatar JV Partner)		
Year	Amount and Currency	QAR equivalent
2010		
2011		
2012		
Average Annual Turnover Data International (To be completed by Non Qatari JV Partner)		
Year	Amount and Currency	QAR equivalent
2010		
2011		
2012		

*Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Item 5.1 of Compliance Table in Section III, Subsection B of Qualification Criteria. Both International Turnover and Local Qatar Turnover should be provided if applicable. International Turnover should be based on the exchange rates in the following table.

Currency	Sum	Equivalent in Qatari Riyals
British Pound	£1	
Euro	€1	
US Dollar	\$1	

Form FIN – 5.3

Current Contract Commitments / Works in Progress

(to be completed by Main Contractor or by EACH JV Partner)

The Applicant, and each member of a joint venture or other consortium, must fill in this form, providing information on their current contract commitments, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Name of Contract	Employer, contact address/tel/fax ¹	Value of outstanding work (current QAR equivalent)	Estimated completion date	Average monthly invoicing over last six (6) months (QAR/month)
1.				
2.				
3.				
4.				
5.				
etc.				

¹ The Authority reserves the right to contact this person or any other person for a reference check

Form EXP – 6.1

General Experience

(to be completed by Main Contractor or by the JV)

Applicant's Legal Name: _____ Date: _____

Project: DESIGN, BUILD, OPERATE AND MAINTAIN CONTRACT FOR MUSAIMEER PUMPING STATION AND OUTFALL PROJECT

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On a separate page, and using the following format, the Applicant is requested to present his project experience intended to address the requirements set out in Section III, Subsection 6. The partners of a proposed Joint Venture should provide details of similar contracts undertaken by any of the Joint Venture partners. The value should be based on the currencies of the contracts converted into Qatar Riyals, at the rates given in Form FIN – 5.1. The information is to be summarised for each project being submitted by the Applicant to fulfil the requirements of this criterion.

1.	Name & Number (if applicable of Contract)	
2.	Location (Country, State, Region, etc.)	
3.	Name of Employer	
4.	Employer Representative (provide full contact information)	
5.	Employer's Design Engineer (provide full contact information)	
6.	Nature of works and special features relevant to the package for which the Applicant wishes to prequalify	
7.	Contract Role (check one)	
	<input type="checkbox"/> Sole Contractor	<input type="checkbox"/> Management Contractor
	<input type="checkbox"/> Partner in a Joint Venture	<input type="checkbox"/> Sub Contract
8.	Type of Contract (check one)	
	<input type="checkbox"/> Design- build	<input type="checkbox"/> Design-build-operate
	<input type="checkbox"/> Design-build-operate-Maintain	<input type="checkbox"/> Other
9.	(a) Total contract value (b) your subcontract value (c) your partner share in JV value (in Qatari Riyals at the rates given on Application Form FIN 5.1)	
	(a)	(b) (c)
10.	For sole/main contractors, indicate the approximate Qatari Riyal amount and nature of substantial work (more than 20 percent in contract value) undertaken by subcontract, if any.	
11.	Final Contract Cost	
12.	Date of Award	Contract Duration

13.	Actual Date of Completion	
14.	Completed on Schedule (if not, explain)	
15.	Number & Category of Staff Engaged on Project	
	Number	Category
16.	Project Description (to include relevant information to the scope of the project being applied for)	
17.	Explanation of any difference between the “as awarded” contract value and the contract value at completion, including details of any variations and claims.	

Form EXP – 7.1

Organisational Structure

(to be completed by Main Contractor or by the JV)

Applicant's Legal Name: _____ Date: _____

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The organisational structure (chart) should be presented in the form of diagrams with explanatory notes and should:

- Identify key positions
- Identify specific roles and a brief list of responsibilities of each position
- Show lines of authority and reporting relationships
- Identify key positions to be held by each JV partner (if applicable)
- Identify key skills, qualifications, experience for each position

Note: Organisational Structure (Chart) and the above required information/details should be limited to two (2) A4 sheets.

Form EXP – 7.2

Personnel Capabilities

(to be completed by Main Contractor or by the JV)

Applicant's Legal Name: _____ Date: _____

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The following positions are deemed essential to project implementation, Applicants should provide the names of at least two (2) candidates qualified to meet the specified requirements stated for each position applicable to the construction package covered by the Application. The data on their experience should be supplied in separate sheets using one Form EXP – 7.3 per person.

Position	Years of Experience			
	Total	In Similar Works	As Manager or Technical Lead (as appropriate) of Similar Works	Experience in the Gulf
Project Manager for Design and Construction				
Prime Candidate				
Alternate Candidate				
Contractor Quality Control System Manager				
Prime Candidate				
Alternate Candidate				
Design Phase				
Chief Design Engineer (Design Manager)				
Prime Candidate				
Alternate Candidate				
Lead Pump Station Engineer				
Prime Candidate				
Alternate Candidate				
Lead Outfall Engineer				
Prime Candidate				
Alternate Candidate				
Construction Phase				
Construction Site Manager – Pumping Station				
Prime Candidate				
Alternate Candidate				

Position	Years of Experience			
	Total	In Similar Works	As Manager or Technical Lead (as appropriate) of Similar Works	Experience in the Gulf
Construction Site Manager - Outfall				
Prime Candidate				
Alternate Candidate				
Start-up & Commissioning Manager				
Prime Candidate				
Alternate Candidate				
Operations and Maintenance Phase				
O&M Manager				
Prime Candidate				
Alternate Candidate				

Form EXP – 7.3

Candidate CVs – Maximum 3 pages per person

(to be completed for each candidate listed under Form EXP – 7.2)

Applicant’s Legal Name: _____ Date: _____

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Position		Candidate <input type="checkbox"/> Prime <input type="checkbox"/> Alternate	
Candidate Information	Name of Candidate		Date of Birth
	Education		Nationality
	Total Years of Experience		Languages
	Membership in Recognised International Organisations/Institutions		
	Professional Key Qualifications & Experience (Included detailed relevant project experience to include name & location of project, client and representative contact information, type of contract, contract value, role in project, period of project, date of completion, and relevant technical details to demonstrate similar nature of experience to scope of package in accordance with the requirements of Part 2 Section)		
Present Employment	Name of Employer		
	Address of Employer		
	Telephone		
	Fax		
	Email		
	Job Title of Candidate		
	Years with Present Employer		
	Contact (manager / personnel officer)		

Employment Record	Summarise professional experience over the last 10 year in reverse chronological order. Indicate particular technical and managerial experience relevant to the relevant construction package. Information to include:	
	From/To	
	Company & Position	
	Project Name	
	Relevant Experience	
Certification		
I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe me, my qualifications and my experience.		
(Signature of staff member and authorized Representative of the firm)		
Staff Member Name:	_____	Signature: _____
Representative of Firm:	_____	Signature: _____
Date:	_____	

Form HSE– 8.1

Health, Safety and Environment

(to be completed by Main Contractor or by all JV Partners)

Applicant's Legal Name: _____ Date: _____

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	Health Safety & Environment Criteria (Please Tick Each Box as Appropriate)	Yes	No
1	Is your company Safety Management System (SMS) certified to OHSAS 18001:2007? If 'yes' provide a copy of your current certificate.		
2	If the answer to Question 1 is no, describe on a separate sheet your current safety management system and plan including any future plans to achieve certification. (Maximum 200 words).		
3	Is your company Environment Management System certified to ISO 14001:2004? If 'yes' provide a copy of your current certificate.		
4	If the answer to Question 3 is no, describe on a separate sheet your current Environment management system including any future plans to achieve certification. (Maximum 200 words).		
5	Does your company have personnel assigned the responsibility of HSE at the corporate level?		
6	Does your company have personnel assigned the responsibility of HSE at the project/site level?		
7	Does your company provide adequate personal protective equipment, induction training for new starters, tool-box talks, inspection, maintenance and replacement?		
8	Do you always have trained First-aiders on construction sites and keep documented medical records?		
9	Does your company have a process for identifying hazards and assessing/managing risks associated with identified hazards?		
10	Describe on a separate sheet detailed descriptions of site welfare facilities you would expect to provide on the project being applied for? (Maximum 200 words).		

11	Please provide the following safety performance data:			
		2012	2011	2010
	Fatalities			
	Lost Time Injuries (LTI) (>3 days)			
	Total hours worked			
	Accident Frequency Rate (AFR) *			
	Medical Treatment Injuries			
	Prosecuted for any HSE related offences			
	Prohibition or improvement notices by an enforcement authority			
	*Accident Frequency Rate (AFR) = (Total of LTI >3 days) divided by (the total hours worked) divided by (100,000).			

Form QM – 8.2

Quality Management

(to be completed by Main Contractor or by all JV Partners)

Applicant's Legal Name: _____ Date: _____

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Question	Quality Management Criteria (Please Tick Each Box as Appropriate)	Yes	No
1	Is your company Quality Management System (QMS) certified to BS EN ISO 9001:2008? If 'yes' provide a copy of your certificate.		
2	If the answer to Question 1 is no, describe on a separate sheet your current Quality Management System and plan including any future plans to achieve certification. (Maximum 200 words).		
3	Does your company provide technical training and or certification for your work force?		
4	Does your company provide job specific Quality training to your employees?		
5	Does your company have a process to control and monitor the quality of your workmanship?		
6	Does your company have a process to control and monitor the quality of your construction materials?		
7	Does your company have a process to control and monitor the quality of your equipment and plant?		
8	Does your company have a process to control and monitor the quality of your subcontractor's performance?		
9	Does your company have a system where knowledge, skills and experience gained by individuals is disseminated, shared and redeployed?		

Form RS – 9.1

Resources, Plant & Equipment

(to be completed by Main Contractor or by all JV Partners)

Applicant's Legal Name:

Date:

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1.	How many employees do you directly employ currently who are located in the State of Qatar?	
2.	How many employees do you directly employ currently who are located in GCC countries?	
3.	How many employees do you directly employ currently who are located internationally?	
4.	How many staff do you employ in Qatar at each grade, relevant to the work that is the subject of this application?	
	Management	Professional
	Administrative / Clerical	Construction Supervisors
	Skilled Trade Operatives	Plant Operators
	General Labour	Others
		Total
1.	Briefly describe your company's material procurement process and explain how you will manage your supply chain to ensure security of supply both in terms of price and quantity. (Maximum of 200 words)	

2. Please indicate the major construction plant and equipment (both fixed and mobile) currently in the company's ownership (no more than 20 entries)						
		Plant & Equipment Type	Total Number of Units Owned	No. currently located in Qatar	No. currently located in GCC	No. currently located internationally
	1.					
	2.					
	3.					
	4.					
	5.					
	6.					
	7.					
	8.					
	9.					
	10.					

Form MAQ – 10.1

Management Approach Questions

(to be completed by Main Contractor or the JV)

Applicant's Legal Name:

Date:

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This section will examine the Applicant's management approach associated with delivering projects of similar nature to the contract of Musaimeer Pumping Station and Outfall Project being applied for. This section must be answered having given due consideration to the scope of works.

1.	The Authority intends to establish and implement industry best practices for health, safety and welfare on full scope under this Contract to better protect both the workers on site and the public as a whole. Please summarise your organisational approach and explain how your Health, Safety policies, systems, and procedures will align with and contribute to the achievement of the Authority objectives for the Musaimeer pumping station and outfall project for which the Applicant is applying. (Minimum of 200 words)
2.	How does the Applicant intend to manage the health, safety and welfare of its domestic subcontractors and supply chain for this Contract. (Minimum of 200 words)

3.	Please describe how Applicant's approach to undertaking initiatives to improve workforce safety culture and provide examples of the outcomes achieved where similar initiatives have been implemented. (Minimum of 200 words)
4.	The Authority intends to establish and implement industry best practices for quality on project under this Contract that minimise defects and create a right first time culture. Please summarise your organisational approach and describe how your quality policies, systems, and procedures will align with Authority objectives and assure that a quality product is being delivered under this Contract. (Minimum of 200 words)
5.	Please describe your organisational approach to achieving sustainability in construction. What specific measures would you employ to embed best environmental management practices for this Contract. (Minimum of 200 words)

6.	Please describe your organisational approach to stakeholder liaison. How will you ensure that all those affected by your construction work are consulted and informed? Describe the key measures you will take to minimise disruption to public and address any public complaints. (Minimum of 200 words)
7.	Please describe how you will manage the physical and organisational logistics for providing the necessary resources (labour, plant, equipment, and materials) for this Contract for which the Applicant is applying. Given the number of projects and programmes planned in Qatar during the timeframe of this Programme, describe how your logistics program will be mobilised and implemented. (Minimum of 200 words)
8.	Please identify the top five (5) key risks in relation to this Contract for which the Applicant is applying and describe how you will manage and mitigate those risks. (Minimum of 200 words)

9.	Please describe the legacy the Applicant intends to leave upon completion of this Contract. (Minimum of 200 words)
10.	Describe the management processes and reports for document management, contract management, scheduling, cost management, etc. that the Applicant plans to use on this Contract. (Minimum of 200 words)