

### هيئة الأشغال العامة PUBLIC WORKS AUTHORITY

# PREQUALIFICATION DOCUMENT FOR

### Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

PROJECT ID: IA/14-15/D/02/I

### **Authority**

Public Works Authority P.O. Box 22188 Doha State of Qatar

# Summary **Description**

The purpose of the Prequalification process is to provide the basis for Ashghal [Public Works Authority - (PWA)] ("the **Authority**") to select a short-list of competent companies (the "**Prequalified Applicants**") for Invitation To Tender for a major project within the Authority's investment portfolio.

The Authority is seeking proposals from Applicants to demonstrate their capability to deliver the required Services with the right vision and the necessary experience, capabilities, understanding and commitment to work with the Authority to achieve outstanding results in the delivery of its investment portfolio.

Prequalification is a step in the procurement route which shall ultimately lead to Invitation to Tender for the Prequalified Applicants. The procurement process can either commence by public invitation or by direct and private invitation.

This Prequalification Document consists of:

- 1. Summary Description;
- 2. Part 1: Prequalification Procedures;
- 3. Part 2: Project Brief;
- 4. Part 3: Procurement Detail.

The checklist included in the Part 1 for Prequalification Application Submission, must be completed by the Applicant(s) and included as the first page of the Application.

The checklist is important as it will serve the Authority as the prime filter of all submitted documents for evaluation purposes. It shall be noted that any missing documents or information will have an adverse impact on the Applicant's evaluation score.

Other than the information requested, the Applicant shall not include general company brochures, marketing materials, promotional flyers, etc. within the submission.

# Prequalification Document for Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

#### PART 1: PREQUALIFICATION PROCEDURES

#### Section I. Instructions to Applicants (ITA)

This Section specifies the procedures to be followed by Applicants in the preparation and submission of their Applications for prequalification. Information is also provided on the opening and evaluation of Applications.

Section I contains provisions that shall be used without modification.

### Section II. Application Data Sheet (ADS)

This Section consists of provisions that are specific to each prequalification application and supplement the information or requirements included in Section I, Instructions to Applicants.

### Section III. Prequalification Criteria

This Section contains the prequalification methods and criteria to be used to determine how Applicants shall be prequalified and later invited to tender.

### Section IV. Application Forms

This Section contains the forms for the Application Submission Sheet and all the forms required to be submitted with the Application.

#### PART 2: PROJECT BRIEF

#### **Project Brief**

This document is an outline of the Project Brief and is to be used by Applicants to understand the broad requirements of the Scope of Services.

This document is under development and is not intended to represent a full Project Brief. It is issued for information only at this stage.

#### PART 3: PROCUREMENT DETAIL

This contains an overview of the Project procurement details.

Appendix A: Conditions of Contract, PSA2010

The Public Works Authority's Professional Services Agreement (PSA) 2010 shall be used for the appointment of the successful Consultant.

### **Contents**

### **PART 1 – Prequalification Procedures**

Section I. Instructions to Applicants (ITA)
Section II. Application Data Sheet (ADS)
Section IV. Prequalification Criteria
Application Forms

### PART 2 - Project Brief

1- Introduction2- Project Overview

### PART 3 - Project Detail

Attachment A: Public Works Authority's Professional Services Agreement (PSA) 2010



### هيئة الأشغال العامة PUBLIC WORKS AUTHORITY

# PREQUALIFICATION DOCUMENT FOR

### Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

PROJECT ID: IA/14-15/D/02/I

**PART 1 - PREQUALIFICATION PROCEDURES** 

### **Authority**

Public Works Authority P.O. Box 22188 Doha State of Qatar

July 2014

### **SECTION I: INSTRUCTIONS TO APPLICANTS**

A.	DEFINITIONS	1
B.	GENERAL	. 1
C.	CONTENTS OF THE PREQUALIFICATION DOCUMENT	3
D.	PREPARATION OF APPLICATIONS	4
E.	SUBMISSION OF APPLICATIONS	5
F.	PROCEDURES FOR EVALUATION OF APPLICATIONS	5
G.	EVALUATION OF APPLICATIONS AND PREQUALIFICATION OF APPLICANTS	6

#### A. Definitions

- "Applicant" shall mean the juristic entity submitting the Prequalification Document.
- "Application(s)" shall mean the completed Prequalification Document(s) submitted to the Authority.
- "Application Data Sheet (ADS)" shall mean the pro-forma document so titled within Section II Application Data Sheet.
- "Application Submission Sheet" shall mean the pro-forma document so titled within Section IV Application Forms and which shall preface all prequalification Applications.
- "Association" means the grouping of two or more juristic entities for the purposes of engaging in to the procurement process.
- "Authority" shall mean the Public Works Authority (Ashghal) of the State of Qatar whose representative is the President or his authorised delegate.
- "Days" shall mean calendar days, including weekends and public holidays.
- "Information Sheets" shall mean those pro-forma forms to be completed by the Applicant when compiling the Prequalification Document.
- "Instructions to Applicants (ITA)" shall mean those instructions so contained within Section 1 of this document.
- "Invitation for Prequalification" shall mean the formal written invitation to prequalify issued by the Authority to the Applicant or the publically advertised request for expressions of interest in regards to this prequalification.
- "Prequalification Document" shall mean the completed Application comprising the forms contained within 'Section IV Application Forms'.
- "Prequalification Procedures" shall mean those procedures described within Part 1 of this document.
- "Section" shall mean the 'Sections' so referred within the page titled Summary Description of this document.

#### B. General

### 1. Scope of Application

- 1.1 This Prequalification Invitation document as indicated in Section II, Application Data Sheet, is issued by the Authority, to Applicants interested in tendering for the activities as described in Part 2 Project Brief.
- 2. Source of Funds
- 2.1 The Project shall be funded directly by the Government of the State of Qatar via the Authority.

# 3. Corrupt & Fraudulent Practices

- 3.1 The Authority requires that Applicants observe the highest standard of ethics during the procurement and execution of Authority contracts. In pursuit of this policy, the Authority requires that Applicants are compliant with the Laws of the State of Qatar. In pursuit of this policy, the Authority:
  - a) defines, for the purposes of this provision, the terms set forth below as follows:
    - "Corrupt Practice" shall mean the offering, giving, receiving, or soliciting of anything of value to influence the action of a Public Official in the procurement process or in contract execution; and
  - ii. "Fraudulent Practice" shall mean a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Authority, and includes collusive practices among Applicants (prior to or after tender

submission) designed to establish tender prices at artificial, noncompetitive levels and to deprive the Authority of the benefits of free and open competition,

- b) may, at its sole discretion, reject a proposal for prequalification if it determines that the Applicant recommended for prequalification has engaged in Corrupt Practices or Fraudulent Practices in competing in the tendering processes.
- 4. Eligible Applicants
- 4.1 An Applicant shall be a private, public, association or government owned legal entity, subject to ITA 4.5, or any combination of them with the formal intent to enter into contract or under an existing contract.
- 4.2 Applicant shall not have a conflict of interest. If any conflict of interest or potential conflict of interest between Applicants, their advisers and those of the Authority becomes apparent, Applicants shall immediately inform the Authority, when the Authority shall, at its absolute discretion, decide on the appropriate course of action. If the Authority becomes aware of any conflict of interest or potential interest that the Applicant has not declared to the Authority, the Authority may at its absolute discretion disqualify the Applicant from consideration.
- 4.3 Applicants shall submit only one Application in the same prequalification process, either individually as an Applicant or as a partner of an Association. No firm can be a sub-contractor while submitting an Application individually or as a party of an Association in the same prequalification process. A firm, if acting in the capacity of sub-contractor in any Application, may participate in more than one Application, but only in that capacity. An Applicant who submits, or participates in, more than one Application will cause all the proposals in which the Applicant has participated to be disqualified.
- 4.4 An Applicant that is subject to a declaration of ineligibility by the Authority at the date of submission of the Application or anytime arising thereafter shall be disqualified.
- 4.5 Government owned entities in the State of Qatar shall be eligible only if they can establish that they are legally and financially autonomous and operate under commercial law, and that they are not dependent agencies of the Authority.
- 4.6 Applicants shall provide such evidence of their continued eligibility satisfactory to the Authority, as the Authority shall reasonably request.
- 4.7 Applicants shall have a minimum of annual turnover specified in the ADS to participate in the prequalification process. Any Applicant which does not meet the minimum requirements specified in the ADS or is non-compliant will be rejected and no further prequalification assessment will be carried out.
- 4.8 The Applicant shall submit a copy of their valid registration certificate(s), issued by the 'Committee for the Enrolment of Engineers & Engineering Consultancy Offices' of the General Authority of Planning & Urban Development in accordance with Law No. 19 of 2005 'Regulating the Practice of Engineering Professions'.
- 4.9 Registration as ITA 4.8 is a pre-condition of successful appointment. Where the Applicant does not have registration for all required disciplines and intends to obtain registration they shall provide credible evidences of

their willingness to obtain all consents, permits and licenses necessary and if appointed, shall be requested to show the evidence. It is the responsibility of the Applicant to bear all costs associated with the preparation and obtaining the registration. The Authority shall in no case be responsible or liable for those costs.

- 5. Eligible Goods and Related Services
- 5.1 In preparation of the Prequalification Document Applicants shall be aware of and observe the Government of the State of Qatar's rules and regulations.
- C. Contents of the Prequalification Document
- 6. Sections of Prequalification Document
- 6.1 The document for the prequalification of Applicants (Prequalification Document) consists of parts 1, 2 and 3 which comprise all the sections indicated below, and should be read in conjunction with any addenda issued in accordance with ITA 8.

#### **PART 1 Prequalification Procedures**

Section I: Instructions to Applicants (ITA)
Section II: Application Data Sheet (ADS)
Section III: Prequalification criteria
Section IV: Application Forms

### PART 2 Project Brief PART 3 Procurement Detail

- 6.2 Any "Invitation for Prequalification" issued by the Authority shall not form part of the Prequalification Document.
- 6.3 The Authority accepts no responsibility for the completeness of the Prequalification Document and its addenda unless they were obtained directly from the Authority.
- 6.3 The Applicant shall be required to examine all instructions, forms, terms and conditions in the Prequalification Document and to complete and furnish all information and/or documentation in full as required by the Prequalification Document.
- 7. Clarification of Prequalification Document
- 7.1 Applicants requiring clarifications of the Prequalification Document shall contact the Authority in writing at the email address indicated in the ADS. The Authority shall respond in writing to any request for clarification provided that such request is received not later than seven (7) calendar days before the deadline for submission of Applications. The Authority shall communicate to all Applicants full details of the clarification but without identifying its source. Should the Authority deem it necessary to amend the Prequalification Document as a result of a clarification, it shall do so following the procedure under ITA 8 and in accordance with the provisions of ITA 17.2.
- 8. Amendment of Prequalification Document
- 8.1 At any time prior to the deadline for submission of Applications, the Authority may amend the Prequalification Document by issuing addenda.
- 8.2 Any addendum issued shall be part of the Prequalification Document and shall either be publically advertised in a similar manner to the original issue or, communicated in writing to all those who have obtained the Prequalification Document from the Authority.

8.3 To give prospective Applicants reasonable time to take an addendum into account in preparing their Applications, the Authority may, at its discretion, extend the deadline for the submission of Applications.

### D. Preparation of Applications

### 9. Cost of Applications

9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Authority shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

### 10. Language of Application

10.1 The Application, as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Authority, shall be written in the language specified in the ADS. Any supporting documents and printed literature of non-technical content, that should form part of the Application, may be in another language provided that they are accompanied by an attested and accurate translation of the relevant contents or paragraphs in the language specified in the ADS in which case for purposes of interpretation of the Application, the translation shall govern. The Applicant shall note that all technical contents including related reports, documents, descriptions, drawings, diagrams, technology references, project evidences, etc. shall only be presented in the language specified in the ADS.

# 11. Documents Comprising the Application

- 11.1 The Application shall comprise the following:
  - a) Application Submission Sheet, in accordance with ITA 12;
  - b) Documentary evidence establishing the Applicant's eligibility to prequalify, in accordance with ITA 13;
  - c) Documentary evidence establishing the Applicant's qualifications, in accordance with ITA 14; and
  - d) any other document required as specified in the ADS.

#### 12. Application Submission Sheet

- 12.1 The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV: Application Forms. This form shall be completed without any alteration to its format.
- 13. Documents
  Establishing the
  Eligibility of the
  Applicant
- 13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Sheet and Forms ELI-1.1, ELI-1.2, and REG-2.1, included in Section IV: Application Forms.
- 14. Documents
  Establishing the
  Qualifications of
  the Applicant
- 14.1 To establish its qualifications to perform the contract in accordance with Section III: Prequalification Criteria, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV: Application Forms.
- 15. Signing of the Application and Number of Copies
- 15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorised to sign on behalf of the Applicant.

- 15.2 The Applicant shall submit copies of the signed original Application, in the number specified in the ADS, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.
- 15.3 The two hard copies of the Application should be bound into a ring binder, text in the format as defined in ITA 31.1 and shall not exceed the number of type written pages, as further defined in ITA 31. Hard bound or plastic comb binding should not be used for Applications.

#### E. Submission of Applications

# 16. Sealing and Identification of Applications

- 16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
  - (a) bear the name and address of the Applicant;
  - (b) be addressed to the Authority, in accordance with ITA 17.1; and
  - (c) bear the specific identification of this prequalification process indicated in the ADS 1.1.
- 16.2 In addition to the hardcopies, the Applicant shall provide PDF softcopies of the submission on a labelled CD-ROM attached to the hardcopy in numbers as specified in the ADS. The label should show the Project title and the Applicant's name as a minimum.
- 16.3 The Authority shall accept no responsibility for not processing any Application that was delivered in an unsealed envelope or not identified in full accordance with requirements of the Prequalification Document.

#### 17. Deadline for Submission of Applications

- 17.1 Applications shall be received by the Authority at the address no later than the deadline specified in the ADS.
- 17.2 The Authority may at its own discretion, extend the deadline for the submission of Applications by amending the Prequalification Document in accordance with ITA 8, in which case all rights and obligations of both the Authority and the Applicant shall be subjected to the extended deadline.

#### 18. Late Applications

- 18.1 Any Application received by the Authority after the deadline for submission of Applications as prescribed in ITA 17 may at the sole discretion of the Authority, be rejected as non-compliant and returned unopened to the Applicant.
- 19. Opening of Applications
- 19.1 The Authority will open all eligible Applications received in accordance with the Authority's prevailing tender regulations and procedures and will record details of opened applications to include as a minimum, the name of the Applicant.

#### F. Procedures for Evaluation of Applications

#### 20. Confidentiality

20.1 Information relating to the Application evaluation methodologies, scoring criteria, selection mechanisms and recommendation for prequalification, etc. shall remain confidential and shall not be disclosed to either the Applicant or any other party outside the Authority's organization. The

Applicant shall only be notified of the outcome following the completion of the whole of the prequalification process.

- 20.2 The Applicant shall not contact the Authority regarding their Application during the evaluation time period between the submission date and the subsequent notification date as prescribed in ITA 28, unless requested otherwise by the Authority.
- 21. Clarification of Applications
- 21.1 After the Application closing date, the Authority may time to time contact the Applicant in writing in order to seek clarifications if required, to which the Applicant shall reply in writing and strictly within the time limit set by such communications. The Applicant shall note that the failure to reply, provide clarifications or to provide requested details and evidences, etc. may result in disqualification of the Application.
- 21.2 The Authority shall also reserve the right to reject the Application during the evaluation period, if the Applicant did not meet the clarification requirements stipulated in ITA 21.1 in full.
- 22. Responsiveness of Applications
- 22.1 The Authority at its sole discretion may reject any Application which is not responsive to the requirements of the Pregualification Document.
- 23. Domestic
  Tenderer Price
  Preference
- 23.1 Unless specified otherwise in the ADS, a margin of preference for domestic Applicants shall not apply in the tendering process resulting from this pregualification.
- 24. Sub-Contractors
- 24.1 Applicants planning to subcontract any of the activities indicated in Section III: Prequalification Criteria shall specify the activity to be subcontracted in the Application Submission Sheet and clearly identify such activities and the proposed sub-contractors using Form ELI-1.2 in Section IV: Application Forms. Such proposed sub-contractor(s) shall meet the corresponding qualification requirements specified in Section III: Prequalification Criteria.
- 24.2 The Authority does not intend to execute specific parts of the scope of deliverables by named or nominated sub-contractor(s) selected in advance by the Authority unless stated otherwise in the ADS.

#### G. Evaluation of Applications and Prequalification of Applicants

- 25. Evaluation of Applications
- 25.1 The Authority will use all the factors, methods and criteria defined in Section III, Prequalification Criteria to evaluate the Applicants and any sub-contractors as may be applicable. The Authority reserves the right to consider any other factors or to waive off minor deviations in the prequalification criteria, if they will not in the Authority's opinion, affect the capability of the Applicant to deliver the Project.
- 25.2 The qualifications of those sub-contractors identified in the Application may be considered for the evaluation of an Applicant. The general experiences and the financial resources of those sub-contractors will not be evaluated nor added to those of the Applicant for the purposes of prequalification of the Applicant.
- 25.3 Unless otherwise indicated in the ADS, this Prequalification Document shall be for a Single Contract.

- 26. Authority's Right to Accept or Reject Applications
- 26.1 The Authority reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to Applicants.
- 27. Prequalification of Applicants
- 27.1 An Applicant who has satisfied or exceeded the specified qualification criteria in Section III may be prequalified by the Authority. However, the Authority reserves the right to choose only a select short list comprising a limited number of successfully prequalified Applicants for invitation to tender.
- 28. Notification of Prequalification
- 28.1 Once the Authority has completed the whole evaluation process of the Applications, the Authority will notify the successful Applicants in writing to confirm that they have been prequalified and shortlisted for invitation to tender. The unsuccessful Applicants may not be notified in writing.
- 29. Invitation to Tender
- 29.1 After the notification of the prequalification outcome, the Authority will invite tenders for provision of professional services from the shortlisted Applicants who have been prequalified. The time lapse period between the notification of prequalification and the invitation to tender is not yet defined by the Authority.
- 29.2 The Applicants shall note that the eligible Tenderers may be required to provide tender security acceptable to the Authority in the form and at the amount as to be specified in the tender document and, the successful tenderer will be required to provide a performance security in the form and at the amount as to be specified in the tender document.
- 30. Changes in Qualifications of Applicants
- 30.1 Any subsequent changes to the organization, structure or formation of the Applicant who has been prequalified in accordance with ITA 27 and formally invited to tender, shall be subject to a written approval of the Authority obtained prior to the deadline of the submission of tenders. The approval shall be denied by the Authority, if as a consequence of the change, the Applicant no longer fully satisfies the prequalification requirements set forth in Section III Prequalification Criteria or in the opinion of the Authority, if the fair competition of tendering has been jeopardized as a result. In any case, tenderer shall submit proposed changes to the Authority for consideration not later than fourteen (14) calendar days from the date of invitation to tender. Any such proposals received by the Authority after this deadline shall not be entertained. The Applicant shall note that the Authority's disapproval or unapproved changes in this regard will lead to an automatic disqualification of the tender submission.

#### 31. Submissions

- 31.1 Applicants must not exceed the stated maximum permitted numbers of pages/words in their submissions. For this purpose, "page" means "side of A4 paper". Applicants should print on both sides of each sheet of paper and use only A4 sheets. Text must be only typed in "Arial" font and be no smaller than 10 point, single-spaced with the margins set at 2.5cm. Page header or footer information may be placed in the margin space. Where provisions are made for the answers, they must be typed in the relevant boxes/space provided in this document. No additional attachments are permitted other than what is requested.
- 31.2 All written submissions, questions, queries, communications, answers, clarifications and the like between the Applicant and the Authority shall

# STATE OF QATAR ASHGHAL

# PREQUALIFICATION DOCUMENT SECTION I INSTRUCTIONS TO APPLICANTS

only be stated in English language. Applicant must answer all relevant questions as accurately and concisely as possible and, any monetary values shall only be stated in QAR. The Applicant's failure to furnish the relevant information and documents as requested by the Authority or to make satisfactory responses to any questions raised by the Authority will have an adverse impact on Applicant's evaluation score and as a consequence, the Applicant may not be invited to participate further in the pregualification process.

### **SECTION II: APPLICATION DATA SHEET**

	Introduction
ITA 1.1	The identification of the Applicant is:
ITA 1.1	The name of the Project is:
ITA 4.1	Associations are allowed
ITA 4.3	Applicants may include any number of sub-consultants or sub-contractors
ITA 4.7	No minimum Annual Turnover
	Prequalification Document
ITA 7.1	For clarification purposes only, the Authority address is as per 'Submission and Opening of Applications' below
	Preparation of Applications
ITA 10.1	The language of the Application and all correspondences is <b>English</b>
ITA 15.1 & 15.2	In addition to the original, the number of copies to be submitted with the Application are: TWO bound hardcopies (ie: 1No+2No) and TWO softcopies (ie: 1No+2No) on CD-ROM

,	Submission and Opening of Applications
ITA 17.1 & 21.1	For Application submission purposes only, the Authority's address is:
	Attention:
	Ghanem Rashid Al-Mansoori
	Manager of Contracts Department
	Public Works Authority
	P.O. Box 22188
	Doha, State of Qatar
	Facsimile No.: +974 44950777
	Email: contracts@ashghal.gov.qa
	Telephone: +974 4495 0000
	The deadline for Application submission is:
	Date: TBC
	Time: 12:00 noon Doha local time, GMT + 3:00
	Evaluation of Applications
ITA 24.2	Not used
ITA 25.3	This prequalification document shall be for a Single Contract

### **SECTION III: PREQUALIFICATION CRITERIA**

This Section contains factors, methods and criteria that the Authority will use to evaluate Applications. The information to be provided in relation to each factor and the definitions of the corresponding terms are included in the respective Application Forms.

- 1. Eligibility
- 2. Licences and Registrations
- 3. Historical Contract Non-Performance
- 4. Financial Situation
- 5. Experience
- 6. Key Personnel Experience
- 7. Technical Capability
- 8. Quality, Health, Safety, Sustainability & Environmental (QHSSE) Assurances
- 9. Relevant Technical Skills & Competency Assessment

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA							
	Qualification Criteria Compliance Requirement							
					Association		- Submission	
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Requirements	
1. Eli	gibility							
1.1	Conflict of Interest	No conflicts of interest in ITA Sub-Clause 4.2	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Application Submission Sheet	
1.2	Authority Declared Ineligibility	Not having been declared ineligible by the Authority, as described in ITA Sub-Clause 4.4	Must meet requirement	Existing association must meet requirement	Existing association Must meet must meet requirement N / A		Application Submission Sheet	
1.3	Government Owned Entity	Applicant required to meet conditions of ITA Sub-Clause 4.5	Must meet requirement	Must meet N / A requirement N / A		Application Submission Sheet		
1.4	Applicant Details	Applicant to provide background details	Must meet requirement	Ар	pplicant must comple	te	Form ELI – 1.1 & 1.2	
2. L	icences and Registra	ations						
2.1	Local Commercial Registrations	Applicant having Commercial Registration (CR) within the State of Qatar.	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Form REG – 2.1	
2.2	Qatar Registration (or willingness to obtain registration if appointed)	Applicant meeting conditions of ITA Sub- Clause 4.8	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Form REG – 2.1	

Consultant Professional Services

Integrated Industrial Wastewater Treatment Works

Part 1 / Page 11

# PREQUALIFICATION DOCUMENT SECTION III PREQUALIFICATION CRITERIA

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA							
Qualification Criteria Compliance Requirement							Documentation	
					Association		Cubaciacian	
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Submission Requirements	
3. His	storical Contract Nor	n-Performances						
3.1	1   Dortorming						Form CON – 3.1	
3.2	Pending Litigation	All pending litigation shall in total not represent more than twenty five per cent (25%) of the Applicant's nett worth and shall be treated as resolved against the Applicant	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Form CON – 3.1	
3.3	Financial Penalties Received	Applicant shall list the complete history of the financial penalties imposed by the Client/ Employer (including the Authority) due to any delay events and/ or non-performances of the Contract within the last three (3) years prior to the deadline for Application submission.	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Form CON – 3.1	
4. Fir	nancial Situation							
4.1	Financial History	Applicant shall complete the summaries of the financial history for the last three (3) years and, shall attach independently audited annual financial statements (in English) for the same period as supporting evidences. The Applicant must include separate forms and attach separate financial	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Form FIN – 4.1	

Consultant Professional Services

Integrated Industrial Wastewater Treatment Works

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA								
		Qualification Criteria		Complianc	Documentation				
					Association		Submission		
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Submission Requirements		
		statements as applicable to allow the Authority to distinguish financial histories between local (Qatar) and international business.							
4.2	Annual Turnover	Applicant shall complete the summaries of annual turnover for the last three (3) years by separately identifying those for both local (Qatar) and international businesses.	Must meet requirement	Existing association must meet requirement	Must meet requirement	N/A	Form FIN – 4.2		
4.3	Current Workload	Applicants shall be required to demonstrate current commitments to individual projects and, the capacity in terms of both monetary value and the relevance to industrial wastewater treatment.		Existing association must meet requirement	Must meet requirement	N/A	Form FIN – 4.3		
5. Ex	perience					•			
5.1	General Experience	Applicant shall demonstrate experiences in the role of internationally recognised specialist process design Consultant with a proven track record of global capabilities in undertaking professional consultancy services for the design and management of Industrial Wastewater Treatment Works (IWWTW), during the last seven (7) years prior to the Applications submission deadline. The track record shall include design and management experiences of industrial wastewater treatment plants of capacities greater than 2,000m³/d provided	Must meet requirement	Existing association must meet requirement	N/A	Must meet requirement	Form EXP – 5.1		

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA									
	Qualification Criteria Compliance Requirement									
					Association		Cubminsion			
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Submission Requirements			
		for treating trade effluent discharges arising from automobile, food and beverage, construction, metal and non-metal fabrications, printing, paper, laundry and detergent industries.								
		Applicant shall provide details of individual projects which are relevant to the scope of services required by the Authority, complete with relevant end-user certificates for satisfactory completions of professional services. Applicant shall note that project references associated with hydrocarbon industries in the Oil & Gas sector are not considered relevant by the Authority unless they have a direct application of treating trade effluents arising from aforementioned commercial industries.								
5.2	Specific Technical Experience (1)	Applicant shall demonstrate process design experiences during the last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant for providing treatment solutions of industrial wastewaters containing high-COD recalcitrant compounds. Applicant shall be required to provide details and references of not less than three (3) relevant projects with enduser certificates confirming satisfactory completion of consultancy services.	Must meet requirement	Existing association must meet requirement	N/A	Must meet requirement	Form EXP 5.2			

#### SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA Compliance Requirement **Qualification Criteria Documentation** Association Submission Single Entity Subject Requirement No. **All Parties** Requirements Each Party One Party Combined Applicant shall demonstrate process design experiences during the last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant for providing Existing treatment solutions of industrial wastewaters Specific Technical Must meet association Must meet 5.3 containing heavy metals, toxic and N/A Form EXP 5.2 Experience (2) must meet requirement requirement hazardous compounds. Applicant shall be requirement required to provide details and references of not less than three (3) relevant projects with end-user certificates confirming satisfactory completion of consultancy services. Applicant shall demonstrate process design experiences during the last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant for providing Existing Advanced Oxidation Process (AOP) association Specific Technical Must meet Must meet 5.4 Form EXP 5.2 solutions of industrial wastewater treatment. N/A Experience (3) requirement must meet requirement Applicant shall be required to provide details requirement and references of not less than three (3) relevant projects with end-user certificates confirming satisfactory completion of consultancy services. Applicant shall demonstrate process design Existing experiences during the last seven (7) years Specific Technical Must meet association Must meet as either the Lead Design Consultant or a 5.5 N/A Form EXP 5.2 Experience (4) must meet requirement requirement Specialist Sub-Consultant for providing requirement solid-stream handling and treatment of industrial sludge containing heavy metals,

Part 1 / Page 15

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA									
	Qualification Criteria Compliance Requirement									
					Association		Submission			
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Requirements			
		toxic constituents and hazardous compounds. Applicant shall be required to provide details and references of not less than three (3) relevant projects with enduser certificates confirming satisfactory completion of consultancy services.								
5.6	Specific Technical Experience (5)	Applicant shall demonstrate experiences in planning, mobilizing and conducting industrial wastewater sampling regimes, sample collections, laboratory analyses, characterization and quality profiling during the last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant. Applicant shall be required to provide details and references of not less than two (2) relevant wastewater sampling assignments.	Must meet requirement	Existing association must meet requirement	N/A	Must meet requirement	Form EXP 5.2			
5.7	Specific Technical Experience (6)	Applicant shall demonstrate experiences in planning, mobilizing and conducting environmental baseline quality (ambient air, groundwater, geology, hydrology, etc.) surveys during the last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant. Applicant shall be required to provide details and references of not less than two (2) such environmental assignments.	Must meet requirement	Existing association must meet requirement	N/A	Must meet requirement	Form EXP 5.2			

#### SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA Compliance Requirement **Qualification Criteria Documentation** Association Submission Single Entity Subject Requirement No. **All Parties** Requirements Each Party One Party Combined Applicant shall demonstrate experiences in planning, mobilizing and conducting site investigations, topographical surveys and Existing geotechnical investigations during the last Specific Technical association Must meet Must meet 5.8 seven (7) years as either the Lead Design N/A Form EXP 5.2 Experience (7) requirement must meet requirement Consultant or a Specialist Sub-Consultant. requirement Applicant shall be required to provide details and references of not less than two (2) relevant site investigation assignments. Applicant shall demonstrate experiences in Civil Engineering Standard Methods of Measurements (CESSM3), project CAPEX and OPEX costing and Whole Life Cost Existing (WLC) assessments during the last seven Specific Technical association Must meet Must meet 5.9 N/A Form EXP 5.2 (7) years as either the Lead Design Experience (8) requirement must meet requirement Consultant or a Specialist Sub-Consultant. requirement Applicant shall be required to provide details and references of not less than two (2) relevant projects. Applicant shall demonstrate experiences in Single (S) or Joint-Venture (JV) contractor pre-qualifications, the State of Qatar Existing government tendering regulations and Regional Must meet association Must meet 5.10 N/A Form EXP 5.2 procedures and, the types and Forms of Experience (1) requirement must meet requirement Contracts used by the Authority during the requirement last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant. Applicant shall be required to

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA									
	Qualification Criteria Compliance Requirement									
					Association		Submission			
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Requirements			
		provide details and references of not less than two (2) relevant projects.								
5.11	Regional Experience (2)	Applicant shall demonstrate experiences in the preparation of Design-Build-Operate & Maintain (DBO&M), Modified Turn-Key or similar type tenders used by the Authority, tendering processes, tender evaluations, financial appraisals and contract procurements during the last seven (7) years as either the Lead Design Consultant or a Specialist Sub-Consultant. Applicant shall be required to provide details and references of not less than two (2) relevant projects.	Must meet requirement	Existing association must meet requirement	N/A	Must meet requirement	Form EXP 5.2			
5.12	Regional Experience (3)	Applicant shall demonstrate general regional experiences during the last seven (7) years engaged as a Lead Consultant in the GCC region and in Qatar.	Must meet requirement	Existing association must meet requirement	N/A	Must meet requirement	Form EXP 5.2			
6. Ke	y Personnel Experie	ence								
6.1 Experience (1) Key personnel with clearly demonstrated experiences in projects of similar size and type as per item 5.1. Applicant shall include not more than two (2) such personnel per project.		Must meet requirement	Existing association must meet requirement	N/A	N/A	Form EXP – 6.1				
6.2	Experience (2)	Key personnel with clearly demonstrated specific technical experiences in project types as per items 5.2 – 5.11 inclusive.	Must meet requirement	Existing association must meet	N/A	N/A	Form EXP – 6.1			

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA								
	Qualification Criteria Compliance Requirement								
					Association		Submission		
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Requirements		
		Applicant shall include not more than two (2) such personnel per project.		requirement					
6.3	Experience (3)	Key personnel with clearly demonstrated regional experiences in project types as per item 5.12. Applicant shall include not more than two (2) such personnel per project.	Must meet requirement	Existing association must meet requirement	N/A	N/A	Form EXP – 6.1		
7. Te	chnical Capability								
7.1	Management and Organisational Structure	Applicant shall demonstrate the abilities to deliver design consultancy services and, must highlight the organisational structure identifying all associations and/ or subconsultants as applicable. Applicant shall also demonstrate an understanding of multilocation working supported by previous experiences of working in this manner and, with details of the core team as proposed.	Must meet requirement	Existing association must meet requirement	N/A	N/A	Form OTC – 7.1		
7.2	Technical Capabilities and Resource Allocations	Applicant shall demonstrate uninterrupted availability of sufficient and appropriate staff resources and/ or the availability of subconsultants needed to deliver the relevant consultancy services.	Must meet requirement	Existing association must meet requirement	N / A	N/A	Form OTC – 7.2		

	SUMMARY OF QUALIFICATION and COMPLIANCE CRITERIA							
		Qualification Criteria		Compliand	e Requirement		Documentation	
				Association		Culturalization		
No.	Subject	Requirement	Single Entity	All Parties Combined	Each Party	One Party	Submission Requirements	
8. Qu	ality, Health, Safety,	Sustainability & Environmental (QHSSE) As	surances					
8.1	Quality, Health, Safety, Sustainability and Environment Management	Must meet requirement only in the event that the Association is not registered.	N/A	Form HSE- 8.1				
9. Re	levant Technical Ski	ills & Competency Assessment	l				1	
9.1	Relevant Technical Skills & Competency Assessment	Applicant must provide a detailed answer to this question in order to demonstrate their understanding of the problem, their design approach in devising a solution, their current expertise in providing similar solutions elsewhere, lessons learned from previous experiences, and to display relevant technical skills and competencies needed to deliver all project outputs.	Must meet requirement	Existing association must meet requirement	Must meet requirement only in the event that the Association is not registered.	N/A	Form TSCA-9.1	

### **SECTION IV: APPLICATION FORMS**

### **Checklist**

The Applicant should include this Checklist at the front of their Prequalification Application								
Prequalification	Prequalification Application:							
Project ID: -								
Applicant Nar	ne:							
Date Submitte	ed:							
		'	Documents S	ubmitted				
	following symbols d and included in yo			e Forms and Supporting Documen	ts have been			
			Symbol		Symbol			
Form is Comp	lete and Present		✓	Form is Incomplete or Absent	*			
Ref.	Category/ Form				Status			
1	Eligibility							
1.1	Application Subm	nission	n Sheet					
1.2	Applicant Informa	ation S	Sheet - Form ELI	- 1.1				
1.3	Applicant Informa consultant as per			ssociation Member /Sub- 1.2				
2	Licenses and Re	egistr	ations					
2.1	Company Registr	ration	(CR) - Form REC	G – 2.1				
2.2	Declaration of Wi	llingn	ess, if applicable	- Form REG – 2.1				
3	Historical Contra	act N	on-Performance	es				
3.1	3.1 Historical Contract Non-Performance Sheet - Form CON – 3.1							
4 Financial Situation								
4.1 Financial Situation - Form FIN – 4.1								
4.2	Annual Turnover	– For	m FIN – 4.2					
4.3	Current Workload	d – Fo	orm FIN – 4.3					

5	Experience	
5.1	General Experience - Form EXP- 5.1	
5.2	Specific Technical Experience (1) - Form EXP- 5.2	
5.3	Specific Technical Experience (2) - Form EXP- 5.2	
5.4	Specific Technical Experience (3) - Form EXP- 5.2	
5.5	Specific Technical Experience (4) - Form EXP- 5.2	
5.6	Specific Technical Experience (5) - Form EXP- 5.2	
5.7	Specific Technical Experience (6) - Form EXP- 5.2	
5.8	Specific Technical Experience (7) - Form EXP- 5.2	
5.9	Specific Technical Experience (8) - Form EXP- 5.2	
5.10	Regional Experience (1) - Form EXP- 5.2	
5.11	Regional Experience (2) - Form EXP- 5.2	
5.12	Regional Experience (3) - Form EXP- 5.2	
6	Key Personnel Experience	
6.1	Key Personnel Experience (1) – Form EXP- 6.1	
6.2	Key Personnel Experience (2) – Form EXP- 6.1	
6.3	Key Personnel Experience (3) – Form EXP- 6.1	
7	Technical Capability	
7.1	Management Organisation for Delivery of Services - Form OTC - 7.1	
7.2	Technical Capability – Personnel Capacity – Form OTC – 7.2	
8	Quality, Health, Safety, Sustainability & Environment (QHSSE) Assurances	
8.1	Quality, Health, Safety, Sustainability & Environment (QHSSE) Assurances Plan - Form HSE – 8.1	
9	Relevant Technical Skills & Competency Assessment	
9.1	Relevant Technical Skills & Competency Assessment - Form TSCA – 9.1	

### **TABLE OF FORMS**

APPLICATION SUBMISSION SHEET	24
APPLICANT INFORMATION SHEET	26
APPLICANT INFORMATION SHEET FOR EACH ASSOCIATION MEMBER /SUB-CONSULTANT	27
REGISTRATIONS AND LICENSES	28
HISTORICAL CONTRACT NON-PERFORMANCE SHEET	30
FINANCIAL SITUATION	32
ANNUAL TURNOVER	34
CURRENT WORKLOAD	35
GENERAL EXPERIENCES	36
SPECIFIC EXPERIENCES	37
KEY PERSONNEL EXPERIENCE	40
MANAGEMENT ORGANISATION FOR DELIVERY OF SERVICES	42
TECHNICAL CAPABILITY - PERSONNEL CAPACITY	43
QUALITY, HEALTH, SAFETY, SUSTAINABILITY AND ENVIRONMENT	44
RELEVANT TECHNICAL SKILLS AND COMPETENCY ASSESSMENT	44

### **Application Submission Sheet**

(To be completed by the Applicant)

Date:								
Project	: Consultant Professional Services for Integrate	ed Industrial Wastew	ater Treatm	ent Works				
		Page	of	pages				
To	: The Public Works Authority (ASHGHAL)							
W	e, the undersigned, apply to be prequalified for the referenced contract and declare that:							
a)	We have examined and have no reservations documents issued in accordance with ITA Clause		on Docume	nt, and all other				
b)	We, including any sub-contractors subject to this of interest, in accordance with ITA Sub-Clause 4		ment do not	have any conflict				
c)	We, including any sub-contractors subject to declared ineligible by the Authority's and Qatar's	•		have not been				
d)	$\square$ We are not a government owned entity;							
	•	☐ We are a government owned entity with a government shareholding of [insert percentage shareholding] and we meet the requirements of ITA Sub-Clause 4.5.						
	(tick as appropriate)							
e)	We, in accordance with ITA Sub-Clause 24.1, plan to subcontract the following key activities and/or parts of the Project:							
	Activities	Sub-contractor						
f)	We understand that you may cancel the preq neither bound to accept any Application that Applicants to tender for the contract subject of	you may receive n	or to invite	the prequalified				

liability to the Applicants, in accordance with ITA Clause 26.

# STATE OF QATAR ASHGHAL

# PREQUALIFICATION DOCUMENT SECTION IV APPLICATION FORMS

Lead Member						
Signed:						
Name:	in the capacity of:					
Duly autho	rised to sign the Application for and on behalf of:					
Applicant's	legal name:					
Address:						
Dated on	day of					
Team Mer	nber					
Signed:						
Name:	in the capacity of:					
Duly autho	rised to sign the Application for and on behalf of:					
Applicant's	legal name:					
Address:						
Dated on	day of					

### Form ELI – 1.1

### **Applicant Information Sheet**

(To be completed by the Applicant)

Date:						
Project	: Co	onsultant Professional Services for Integrated Indus	trial Wastev	vater Treat	tmen	t Works
			Page	of		_pages
Applica	nt's	s legal name:				
In case	of A	Association, legal names of each member:				
Applica	nt's	s actual or intended country of constitution:				
Applica	nt's	s actual or intended year of constitution:				
Applica	nt's	s legal address in country of constitution:				
Legal S	Statu	tus of the Company:				
	dres	ompany (if the applicant is a branch, subsidiary or any ess of the parent company or companies together with ling):				
Applica	nt's	s number of years of experience:				
Applica	nt's	s authorised representative details;				
Name:						
Addres	s:					
Teleph	one	e/Fax numbers:				
E-mail	add	dress:				
Attache	ed a	are the copies of original documents of:				
	1.	In case of Single entity, Articles of Incorporation or centity named above in accordance with ITA 4.1	documents of	f Constituti	on of	f the legal
	2.	In case of an Association, the Joint Venture Agreeme for Association in accordance with ITA 4.1	ent or the Me	morandun	າ of A	Agreement
	3.	In the case of a Government-Owned entity, any add the 1 above required to comply with ITA 4.5	ditional docui	ments not	cove	red under

### Form ELI – 1.2

# Applicant Information Sheet for each Association Member/ Sub-Consultant

(To be completed by the Applicant's Association Members/ Sub-Consultants)

Date:	
Project: Co	onsultant Professional Services for Integrated Industrial Wastewater Treatment Works
	Pageofpages
Note:	This form is to be completed by each Association Member and by each Sub-Contractor/Sub-Consultant. This includes nominated supply sources.
Applicant's I	egal name:
Association	Member's / Sub-Contractor's / Sub-Consultant's legal name:
Association	Member's / Sub-Contractor's / Sub-Consultant's country of constitution:
Association	Member's / Sub-Contractor's / Sub-Consultant's year of constitution:
Association	Member's / Sub-Contractor's / Sub-Consultant's legal address in country of constitution:
Association	Member's / Sub-Contractor's / Sub-Consultant's authorised representative details;
Name:	
Address:	
Telephone/F	Fax numbers:
E-mail Addr	ess:
Attached are	e copies of the original documents of:
	of a Single entity, Articles of Incorporation or Documents of Constitution of the legal entity above in accordance with ITA 4.1.
	ase of a Government-Owned entity, any additional documents not covered under 1 above uired to comply with ITA 4.5.

Date:

### **Form REG – 2.1**

### **Registrations and Licenses**

(To be completed by the Applicant)

Project: Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

,				<b>3</b>	Page	C	ofpages	
1	(evi	dence t	to be provid	Registration in home count ded as supporting information	-	ne A4 she	eet only)	
	Year establish	ed	Cor	untry/Area where registered		Registr	ation/License No.	
2	(evi	dence t	to be provid	Registration in Qatar if existed as supporting information		ne A4 she	eet only)	
	Year establish	ed		Discipline of Registration		Registr	ration/License No.	
3	If not re	egister		, provide details of working ations in Qatar (One A4 shee			delivering	
Pr	Prospective Sponsor's name		Address and Contact Numbers			Type of Business		
5	If the Applica	ant is a	Iready in, o	r intends to form an Association following information:	ation f	or the pro	ject, provide the	
Name of Association Special partner(s)		cialisation	Address and Contact Num	bers	Regist	ration/License No.		
6		Su	b-Contract	ors / Sub-Consultants / Spec	ialist	Suppliers		
	Name	Spec	cialisation	Address and Contact Numbers		istration/ ense No.	Location where Services carried out	

# PREQUALIFICATION DOCUMENT SECTION IV APPLICATION FORMS

7	Арр	olicant or Associa	tion Manag	ement Structure 8	d Organiz	zation (	Chart(s)
<b>'</b>	(details	to be provided as	supporting	information – ma	ximum F	Four A4	sheet only)
	<ul><li>(a) For the Applicant showing the relationships with Sub-Contractors / Sub-Consultants / Specialist Suppliers.</li><li>(b) For the Parent Company showing the relationship with the Applicant (if applicable).</li></ul>						
8	A	pplicant's Membe	rship of Pro	ofessional Associa	ations (if	applic	able):
	Professional Association	Acro	onym	Type of Members	ship	Meml	ber Since (year)

### Form CON - 3.1

### **Historical Contract Non-Performance Sheet**

be c	ompleted by	the Applica	nt. For an Association, to be compl	eted by each Memb				
Date:			• • • • • • • • • • • • • • • • • • • •					
rojec	t: Consultant	Professional	Services for Integrated Industrial Waste Page	ewater Treatment wo				
			Litigation History	,				
	No non-perfo	rming contract	s in accordance with Item 3.1 of Secti	on III Prequalification				
		Non-performing contracts with <b>no pending</b> litigation in accordance with Item 3.2 of Section III Prequalification Criteria, as indicated below						
			ith pending litigation in accordance with ndicated below	Item 3.2 of Section III				
Year	Litigation pending (Yes/No)	Outcome as % of Nett Worth	Contract Identification:	Total Contract Amount (current QAR equivalent*)				
			Contract Identification: Matter in dispute:					
			Contract Identification: Matter in dispute:					
			Contract Identification: Matter in dispute:					
			Financial Penalties History					
	No non-perfo	rming contract	s in accordance with Item 3.1 of Secti	on III Prequalification				
			rith financial penalties imposed/receive alification Criteria, as indicated below	d in accordance with				
Year	Penalties imposed (Yes/No)	Outcome as % of Nett Worth	Contract Identification:	Total Contract Amount (current QAR equivalent*)				
			Contract Identification:					

Delay Event or Non-Performance Item:

# PREQUALIFICATION DOCUMENT SECTION IV APPLICATION FORMS

	Contract Identification: Delay Event or Non-Performance Item:	
	Contract Identification: Delay Event or Non-Performance Item:	

\*QAR equivalent. The figures quoted should be based on the currency exchange rates published by the Qatar Central Bank, five working days before the Application submission closing date

Date:

## Form FIN - 4.1

### **Financial Situation**

(To be completed by the Applicant. For an Association, to be completed by each Member)

Project: Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

		P	'age	ofpage			
Financial Information	Historic information for previous three (3) financial years						
i manoiai miormation			lent* in 000s)				
	Year 1	Year 2	Year 3	Average			
	ion from Bala	nce Sheet					
Total Assets (TA)							
Total Liabilities (TL)							
Net Worth (NW)							
Accounts Receivable (AR)							
Current Assets (CA)							
Current Liabilities (CL)							
Informatio	n from Incom	e Statement					
Total Revenue (TR)							
Operating Profit (EBIT)							
Net Revenue (NR)							
F	inancial Indic	es					
Current Assets/Current Liabilities(Current Ratio)							
Operating Profit/Total Revenue (Profit Margin)							
Total Revenue/Total Assets (Return on Assets)							
Total Liabilities/Total Assets (Debt Ratio)							

<sup>\*</sup>QAR equivalent. The figures quoted should be based on the currency exchange rates published by the Qatar Central Bank, five working days before the Application submission closing date

## PREQUALIFICATION DOCUMENT SECTION IV APPLICATION FORMS

- Attached are copies of independently audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:
- A. must reflect the financial situation of the Applicant or each association member, and not sister or parent companies;
- B. historic financial statements must be independently audited by a certified accountant;
- C. historic financial statements must be complete, including all notes to the financial statements;
- D. historic financial statements must correspond to accounting periods already completed and independently audited (no statements for partial periods shall be requested or accepted);
- E. financial statements must be in English, all translations must be provided with a notarised certification;
- F. auditor's name, address and contact details

Date:

Page \_\_\_\_\_of \_\_\_pages

## Form FIN - 4.2

#### **Annual Turnover**

(To be completed by the Applicant and, in the event of an Association, each member)

Project: Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

Annual Turnover Data in Qatar (Applicant / Association Lead)								
Year	Amount and Currency 000's	QAR equivalent 000's						
1								
2								
3								
Annual Turnover Data International (Association Member 2, 3, etc.)								
Year	Amount and Currency 000's	QAR equivalent 000's						
1								
2								
3								

#### NOTES:

- 1. Both international turnover and local Qatar turnover should be provided when applicable.
- 2. The figures for international turnover should be based on the currency exchange rates published by the Qatar Central Bank, five working days before the Application submission closing date.

Date:

### **Form FIN – 4.3**

#### **Current Workload**

(To be completed by the Applicant and, in the event of an Association, each member)

Project: Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

		Page	of	_pages
Starting Month / Year *	Ending Month / Year	Contract Identification	Role of Applicant	
	Total	Contract name and reference number:  Name of Employer:  Address of Employer:  Please provide names and current contact details (office landline number, mobile number and e-mail address) of two of the Employer's employees at senior management level whom the Authority can contact for a technical reference for each Project.  Value:  Commencement:  Duration:  Brief Description of the scope of deliverables performed by the Applicant (Max 300 word description per example):		

Note: The above template shall be replicated for each example

<sup>\*</sup> List calendar year starting with the earliest year (chronological order)

### **Form EXP - 5.1**

### **General Experiences**

(To be completed by the Applicant)

Date: Project: Consultant Profess	sional Services for Int	tegra	ted Industrial Waste	water Treati	ment Works		
			Page	of	pages		
Award Date							
Completion Date							
Role in Contract	☐Main Consultant		Association Member	□Sub-Co	nsultant		
Total Contract Amount (QAR)							
If party in an Association or as a Sub-Consultant, specify participation of total Contract Amount and Percentage (%)	QAR:		%				
Nature of works and special features relevant to the design service element/ package for which the Applicant wishes to prequalify							
Employer's Name:							
	Please provide names and current contact details (office landline number, mobile number and e-mail address) of two persons of the Employer's senior management staff whom the Authority or its delegated representative can contact for technical references.						
Address:							
Telephone/Fax number:							
E-mail:							
Brief description of the Applicant may w	scope of deliverables prices in the second s			•	ords)		

#### Note:

- 1. The above template shall be replicated for all examples.
- 2. List in order of calendar year starting with the earliest year (chronological order).
- 3. QAR equivalent. The figures quoted should be based on the currency exchange rates published by the Qatar Central Bank, five working days before the Application submission closing date

### **Form EXP - 5.2**

### **Specific Experiences**

(To be completed by the Applicant)

eferenced Project Name:	Page	of	pages
Reference Project Number: of (required)  Activities  (Tick all activities applicable to this example)	<ul> <li>□ Commercial and Trade Effluent handling, disposal, treatment and management practices</li> <li>□ Industrial Wastewater Disposal Surveys, Sampling, Quality Analyses, Characterization and Profiling</li> <li>□ Industrial Wastewater Treatment scheme designs, planning and implementation</li> <li>□ Advanced Oxidation Processes (AOP) designs</li> <li>□ Specialist proprietary treatment technology selections</li> <li>□ Site Investigations, Feasibility Studies and Conceptual Designs</li> <li>□ Advanced Industrial Wastewater treatment process designs, design calculations and technology validations</li> <li>□ Project Permitting and No Objection Certifications</li> <li>□ Environmental Impact Assessments (EIA) studies, Environmental Permits (EP), Environmental Permits (EP), Environmental Management Plans (EMP)</li> <li>□ Stakeholder Engagement</li> <li>□ Project Costing &amp; Whole Life Cost (WLC) analyses</li> <li>□ Contractor Pre-Qualifications</li> <li>□ DBO&amp;M (Design, Build, Operate &amp; Maintain), FIDIC, etc. tender preparation</li> <li>□ Tendering, Bid Evaluations and Technical &amp; Financial Appraisals</li> <li>□ Contract Documentation</li> <li>□ Multi-disciplinary Project Management and Technical Leadership</li> <li>□ Advanced Industrial Wastewater Treatment plant optimization, operation, maintenance and project lifecycle management</li> </ul>	□Technica	al Example I Example

# PREQUALIFICATION DOCUMENT SECTION IV APPLICATION FORMS

Contract Identification		
Award Date		
Completion Date		
Total Contract Amount (QAR)		
If in any Sub-Contract, specify participation of total Contract Amount and Percentage (%)	QAR	%
Employer's Name:		
Address:		
Telephone/Fax number:		
E-mail:		
Please provide names and current contact details (office landline number, mobile number and e-mail address) of two of the Employer's senior management staff whom the Authority can contact for technical references.		
Project description noting similarities with Authority requirements s III Prequalification Criteria (max 1,000 words):	specified in Items 5.1	to 5.12 of Section

## PREQUALIFICATION DOCUMENT SECTION IV APPLICATION FORMS

Examples of Innovations (max 200 words):
Lessons-Learned and Measurable Benefit to Clients (max 200 words):

Note: The above template shall be replicated for all examples

### **Form EXP – 6.1**

### **Key Personnel Experience**

(To be completed by the Applicant)

	Date:	ultanıt D				4		4	-4 <b>T</b>						
	Project: Consu	iitant Pi	roressionai	ser	vices for integ	rate	ed Industrial Was	tewa	ater ir	eatment wo	rks	Page		of	pages
1	NAME							2		RS OF ERIENCE			□Tech □Regio	onal Exa	ample
3	POSITION					4	NATIONALITY				5	BIRTH DATE			
6	ACADEMIC QUALIFICAT						7	7 KEY EXPERIENCES RELEVANT TO THE PROJECT WORDS)				CT (MAX 200			
	ACADEMIC DEGREE(S)		DATE CERTIFIE	IED NAME OF INSTITUTION		COUNTRY									
8	8 PROFESSIONAL REGISTRATIONS / AFFILIATIONS														
NAME OF ORGANIZATION   REGISTRATION / MEMBERSHIP N			MBERSHIP NO.												

						Га	igeoipages		
NAME					POSITION				
PERIOD (MN/YR)		DESIGNATION / EMPLOYER'S NAME & DROUGET		L NIAME & DESCRIP	TION	DUTIES A DESCRIPTION			
FROM	ТО	POSITION	ADDRESS	PROJEC	T NAME & DESCRIP	TION	DUTIES & RESPONSIBILITIES		

#### Notes:

- The above template shall be replicated for all examples
   List project references in order of calendar year starting with the latest year (reverse chronological order).

### **Form OTC - 7.1**

### **Management Organisation for Delivery of Services**

(To be completed by the Applicant)

	F	'age	of _	pag
Description of the Organisation for delivery of Services	Maximum 500 words page for graphic(s)	descriptio	n plus 1	No x A4 si
Provide details of organization Capabilities and	d relevant Experiences	(max 500	words)	:

#### Response shall include:

- 1. Organisation Chart,
- 2. demonstration of multi-location working and any previous experience and,
- 3. identification of Core Team Members

### **Form OTC - 7.2**

# Technical Capability – Personnel Capacity (To be completed by the Applicant)

		Page _	ofpages
Local Region (Qatar, etc.):	NUMBER	AVERAGE YEARS OF EXPERIENCE	AVERAGE YEARS WITH THE APPLICANT
PROFESSIONAL PERSONNEL			
TECHNICAL PERSONNEL			
			•
International Region:	NUMBER	AVERAGE YEARS OF EXPERIENCE	AVERAGE YEARS WITH THE APPLICANT
PROFESSIONAL PERSONNEL			
TECHNICAL PERSONNEL			
International Region:	NUMBER	AVERAGE YEARS OF EXPERIENCE	AVERAGE YEARS WITH THE APPLICANT
PROFESSIONAL PERSONNEL			
TECHNICAL PERSONNEL			
International Region:	NUMBER	AVERAGE YEARS OF EXPERIENCE	AVERAGE YEARS WITH THE APPLICANT
PROFESSIONAL PERSONNEL			
TECHNICAL PERSONNEL			

All Regions Summary	NUMBER	AVERAGE YEARS OF EXPERIENCE	AVERAGE YEARS WITH THE APPLICANT
PROFESSIONAL PERSONNEL			
TECHNICAL PERSONNEL			

#### **Form HSE - 8.1**

# Quality, Health, Safety, Sustainability and Environment (To be completed by the Applicant)

_	_	1	_	

Project: Consultant Professional Services for Integrated Industrial Wastewater Treatment Works

Dogo	o.f	20000
Page	OI .	_pages

#	Assurance System	No	Yes	Evidence Attached
1	Does the Applicant have a Quality Management System in place?  If Yes, progress to 1A			
А	Is the Applicant's Management System certified to ISO 9001:2008 or similar – attach certificate If No, progress to 1B			
В	Provide brief explanation of system, including the Quality	Plan.		
2	Does the Applicant have a Health, Safety, Sustainability and Environmental Management System in place? If Yes, progress to 2A			
А	Is the Applicant's Occupational Health and Safety Management system certified to OHSAS 18001 – attach certificate  If No, progress to 2B			
В	Provide brief explanation of the system			
3	Does the Applicant have an Environmental Management System in place?  If Yes, progress to 3A			
А	Is the Applicant's Environmental Management System certified to ISO 14001 or similar? – attach certificate If No, progress to 3B			
В	Provide brief explanation of the system			
4	Applicant to describe their Sustainability Management System			
5	Provide the Applicant's company Organisation Chart detailing the level and integration of Quality, Health, Safety, Sustainability and Environmental Management into the Applicant's organisation.			
6	Provide details of the Applicant's project-specific involvements in initiating, engaging, performing and managing Environmental Impact Assessment (EIA) studies associated with major wastewater treatment projects.			

### Form TSCA - 9.1

# Relevant Technical Skills & Competency Assessment (To be completed by the Applicant)

	Page	of	page
Question:			
The description given in Part 2, Project Brief of this I provides a general background and it outlines the na Industrial Wastewater management practices in Qat Applicant to understand the issues, identify solutions needed to be included in the Consultant's Scope of understanding gained from the project brief, describ adopting to devise a solution and, the type and natu would be considering for the proposed industrial was	ature of current probl ar. This project brief is and analyse the bro Professional Service e the design approact re of the treatment p	ems assoc shall be us pader requi s. Based of th that you rocesses th	iated with sed by the rements on your would be
nswer (maximum 1,500 words + process block diagram	s for illustration purpo	oses):	