

قطــر تستحــق الأفضــل Qatar Deserves The Best هئية الأشغال العامة PUBLIC WORKS AUTHORITY QUALITY & SAFETY DEPARTMENT

PREQUALIFICATION FOR

QHSE CONSULTANCY SERVICES

PROJECT ID: QSD 2020 SY 03 G

BUDGET REF. /CODE:

Public Works Authority P.O. Box 22188 Doha State of Qatar

December 2020

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- Section III: Qualification Criteria
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Part 2: Services Requirements

1.0 Acronyms

| ADS | Application Data Sheet |
|----------|---|
| ANSI | American National Standards Institute |
| ASHGHAL | Public Works Authority of Qatar |
| ASTM | American Society for Testing and Materials |
| BS | British Standard |
| DIN | German Industrial Standards |
| EIA | Environmental Impact Assessment |
| EPB | Earth Pressured Balance |
| GTC | Grand Tender Committee - ASHGHAL |
| HSE | Health, Safety and Environment |
| ITA | Instructions to Applicants |
| ITT | Invitation to Tender |
| KAHRAMAA | Qatar General Electricity and Water Corporation |
| MME | Ministry of Municipality and Environment |
| PWA | Public Works Authority |
| QA | Quality Assurance |
| QAR | Qatari Riyals |
| QC | Quality Control |
| QCE | Quality Control Engineer |
| QCS | Qatar Construction Standard |
| QEZ | Qatar Economic Zone |
| QHSE | Quality Health Safety and Environment |
| QSD | Quality & Safety Department |
| | |

2.0 Summary Description

2.1 Attachment 1: Scope of Services (Project Brief – Scope of Works). This document is the document describing the scope of deliverables to which the prequalification relates.

The purpose of the prequalification process is to provide the basis for PWA, to select a short-list of competent and qualified Consultant (the "Prequalified Applicants") for Invitation to Tender (ITT) for the Services ("Services").

The PWA is seeking proposals from Applicants to demonstrate their capability to perform significant components of the work with the right vision and the necessary experience, capabilities, understanding and commitment to work with PWA to achieve outstanding results in the delivery of the Services.

- 2.2 This Prequalification Document consists of:
 - 1. Summary;
 - 2. Part 1: Prequalification Procedures; and
 - 3. Part 2: Services Requirements;
- 2.3 Prequalification is a step in the procurement route which shall ultimately lead to Invitation to Tender for the prequalified Applicants. The procurement process can either commence by public invitation or by direct, private, invitation. Prequalification centers on evaluation of the Applicant's:

General Tenderer Information and Details

- 1. Eligibility
- 2. Licenses and Registration
- 3. Historical Contract Non-performance
- 4. Financial Situation
- 5. Experience
- 6. Personnel Capabilities
- 7. Project Assurances
- 2.4 Consequently, previous prequalification for other PWA projects shall not guarantee automatic prequalification for the Services.
- 2.5 The Services Requirements' in Part 2 of this document provides a summary of the required scope of works.
- 2.6 This single Invitation for Prequalification covers the only prequalification step for the Services.

3.0 Checklist

- 3.1 The checklist, included in Section IV, for Application submission must be completed by Applicant and included as the first page of each Application.
- 3.2 This checklist is important as it will serve as a checklist of the submitted documents for evaluation purposes. Any missing information will have an impact on the Applicant's score.
- 3.3 Other than the information requested, other documentation, including brochures about the Applicant, additional information etc. must not be included in the submission.

4.1 Document User's Guide

4.2 Part 1 Prequalification Procedures

Section I. Instructions to Applicants (ITA)

This Section specifies the procedures to be followed by Applicants in the preparation and submission of the Applications for prequalification. Information is also provided in the opening and evaluation of Applications.

Section II. Application Data Sheet (ADS) This Section consists of provisions that are specific to each Application and supplement the information or requirements included in Section I: Instructions to Applicants.

Section III. Qualification Criteria This Section contains the qualification, methods and criteria to be used to determine how Applicants shall be prequalified and later invited to tender.

Section IV. Application Submission Sheet and Forms This Section contains the Application Submission Sheet and all the forms to be submitted with the Application.

4.3 PART 2 Services Requirements

This Section contains details of the draft scope of services and is not intended to represent a full project brief. This is issued for information only



Qatar Deserves The Best

هئية الأشغال العامة PUBLIC WORKS AUTHORITY

QUALITY & SAFETY DEPARTMENT

PREQUALIFICATION FOR

QHSE CONSULTANCY SERVICES

PROJECT ID: QSD 2020 SY 03 G

PROCEDURES AND SERVICES REQUIREMENTS

Part 1 – PREQUALIFICATION PROCEDURES

Public Works Authority P.O. Box 22188 Doha State of Qatar

December 2020

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Section I. Instructions to Applicants (ITA)

A. Definitions

| Applicant | Shall mean the juristic entity submitting the Prequalification Document. | | | |
|------------------------------------|---|--|--|--|
| Application(s) | Shall mean the completed Prequalification Document(s) submitted to the PWA | | | |
| Application Data Sheet (ADS) | Shall mean the pro-forma document so titled within Section IV, Application Data Sheet | | | |
| Application Submission Sheet | Shall mean the pro-forma document so titled within Section IV, Application Forms, and which shall preface all prequalification Applications. | | | |
| Association | Shall mean a joint venture, partnership, consortium or other association of two or more companies that come together for the purposes of tendering, however, this excludes sub-consultants. | | | |
| Days | Shall mean calendar days, including weekends and public holidays. | | | |
| QSD | Shall mean ASHGHAL's Quality & Safety Department. | | | |
| Each Party | Shall mean the individual entities that have come together to form an Association. | | | |
| GTC | Shall mean ASHGHAL's Grand Tendering Committee | | | |
| Information Sheets | Shall mean those pro-forma forms to be completed by the Applicant when compiling the Prequalification Document. | | | |
| Instructions to Applicants (ITA) | Shall mean those instructions so contained within Section 1 of this Prequalification Document. | | | |
| Invitation for Prequalification | Shall mean the formal written invitation to prequalify issued by PWA to the Applicant or the publically advertised request for expressions of interest in regards to this prequalification. | | | |
| Invitation to Tender | Shall mean the stage whereby qualified Applicants are invited to submit fully compliant sealed bids in relation to the scope of work to be procured. | | | |
| Lead Partner | Shall mean the party nominated by the Association, as the lead entity of the Association. | | | |
| Minimum Value | Shall mean either the total contract value, when the Applicant was acting as a Consultant, or the share of the total contract corresponding to its share of the participation when the Applicant was a member of an Association. | | | |
| Partner | Shall mean the second party in an Association, with the first Partner being the Lead Partner. | | | |
| Prequalification Document | Shall mean the documents comprising the invitation issued by PWA, inviting Applicants to submit detailed particulars demonstrating capability in relation to the scope of work to be procured. | | | |

| PWA | Shall mean the Public Works Authority (Ashghal) of the State of Qatar whose representative is the President or his authorized delegate. |
|--------------------------------|--|
| Prequalification Procedures | Shall mean those procedures described within Part 1 of this document. |
| Prequalified Applicants | Shall mean the Applicants who have passed the evaluation criteria of the Prequalification and who shall be invited to tender for the project. |
| Consultant | Shall mean the consultant who will enter into a direct contract with PWA for a project and who will have full responsibility for the Services. A Consultant undertakes to perform a complete contract, and may employ (and manage) one or more subcontractors or sub-consultants to carry out specific parts of the contract. |
| Section | Shall mean the 'Sections' so referred within the page titled Summary Description of this document. |
| Services | Shall mean all services and works of any kind required for the Project and to satisfy the requirements of the Project Brief in Attachment 1. |

B. General

| 1. Scope of Application | 1.1 | In connection with the Invitation for Prequalification indicated in Section II, Application Data Sheet (ADS), the Public Works Authority (hereinafter "PWA"), issues this Prequalification Document to Applicants interested in tendering for the scope of deliverables described in Part 2 – Services Requirements. |
|----------------------------|-----|--|
| | | |

Source of Funds 2.1 The scope of services is funded directly by the Government of the State of Qatar.

- 3. Corrupt &
Fraudulent
Practices3.1The PWA requires that Applicants observe the highest
standard of ethics during the procurement and execution
of the scope of services in pursuit of this policy, the PWA:
 - (a) Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "Corrupt Practice" shall mean the offering, giving, receiving, or soliciting of anything of value to influence the action of a Public Official in the procurement process or in contract execution; and
 - ii. "Fraudulent Practice" shall mean a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the PWA, and includes collusive practices among Applicants (prior to or after tender submission) designed to establish tender prices at artificial, noncompetitive levels and to deprive the PWA of the benefits of free and open competition;
 - iii. PWA may, at its sole discretion, reject a proposal for prequalification if it determines that the Applicant recommended for prequalification has engaged in Corrupt Practices or Fraudulent Practices in competing in the tendering processes for the Services.

- 4. Eligible Applicants 4.1 An Applicant may be a private, public, association or government owned legal entity, subject to ITA 4.8, or any combination of them. Evidence of the formal intent to form an association, shall be evidenced by a joint tendering agreement attached to Form ELI 1.1, or, in the case of an existing association, evidence of such existing association.
 - 4.2 Applicants shall not have a conflict of interest. If any conflict of interest or potential conflict of interest between Applicants, their advisers and those of the PWA becomes apparent, Applicants shall immediately inform the PWA, when the PWA shall, at its absolute discretion, decide on the appropriate course of action. If the PWA becomes aware of any conflict of interest or potential interest that the Applicant has not declared to the PWA, the PWA may at its absolute discretion disgualify the Applicant from consideration.
 - 4.3 Applicants will be advised of the outcome of the QHSE Consultancy Services prequalification, prior to the issue of the invitation to tender.
 - 4.4 Applicant's attention is drawn to Item ITA 30 of the QHSE Consultancy Services Prequalification document which requires PWA approval of any change in the structure or formation of a QHSE Consultancy Applicant after being prequalified, and such approval shall be denied if, as a consequence of the change, the QHSE Applicant no longer substantially meets the qualification criteria or a substantial reduction in competition may result.
 - 4.5 If an Applicant, is having any active consultancy or supervision contracts with PWA, then PWA reserves the right to disqualify the Applicant from the list of Prequalified Applicants for the Services. Applicants shall provide the list of their current projects attached with PWA and shall complete the declaration in Form ELI-1.3.

- 4.6 An Applicant that is under a declaration of ineligibility by PWA, at the date of submission of the Application or anytime thereafter, shall be disqualified.
- 4.7 Government-owned entities in the State of Qatar shall be eligible only if they can establish that they are legally and financially autonomous, and operate under commercial law, and that they are not dependent agencies of the PWA.
- 4.8 Applicants shall provide such evidence of their continued eligibility satisfactory to PWA, as the PWA shall reasonably request.
- 4.9 Applicants shall submit a copy of their registration certificate(s), issued by the 'Engineers & Engineering Offices Accrediting & Classifying Committee' of the Ministry Municipality & Environment (MME) in accordance with Law No. 19 of 2005 'Regulating the Practice of Engineering Professions'. In the case of foreign companies without MME certificates, a similar or equivalent certificate from the country of origin will be sufficient for Application.
- 4.10 Local Registration is a pre-condition of prequalification. However, where the Applicant does not have registration for all required disciplines and intends to obtain registration they shall provide such evidence of their willingness to obtain all consents, permits and licenses necessary and, if appointed, shall be requested to show the evidence. It is the responsibility of the Applicant to bear all costs associated with the preparation and obtaining the registration. PWA shall in no case be responsible or liable for those costs.
- 4.11 Applicants are strictly to adhere that participation eligibilities in order to participate in the prequalification. Applicant with the Classification Certificate from Ministry of Finance (MoF) with the Grade, Category and Activity as specified in ADS. Any Applicant which does not meet the classification requirement shall be rejected and no further prequalification assessment will be carried out.
 - (a) Local companies without classification certificate are be able to submit the application but shall submit the classification certificate during tender submission.
 - (b) Foreign companies without MoF classification certificate, a similar or equivalent certificate from the country of origin will be sufficient for Application.
 - (c) In case the foreign companies do not have classification certificates from their country of origin the same rules applicable to local companies as mentioned in Sub-item 4.11(a) above shall be applied.
- 4.12 Applicants shall specify the average annual turnover in the Form FIN 4.2 Section IV.

5. Eligible Goods and Related Services 5.1 In preparation of the Prequalification Document, Applicants shall be aware of and observe the Government of the State of Qatar's rules and regulations.

C. Contents of the Prequalification Document

6. Sections of Prequalification Document consists of parts 1 and 2 which should be read in conjunction with any addenda issued in accordance with ITA 8.

PART 1 - Prequalification Procedures Section I. Instructions to Applicants (ITA) Section II. Application Data Sheet (ADS) Section III. Qualification criteria Section IV. Application Submission Sheet and Forms

PART 2 - Services Requirements

- 6.2 Any "Invitation for Prequalification" issued by PWA shall not form part of the Prequalification Document.
- 6.3 The PWA accepts no responsibility for the completeness of the Prequalification Document and its addenda, unless they were obtained directly from PWA.
- 6.4 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or documentation required by the Prequalification Document.
- 7. Clarification of Prequalification Document
 7.1 Applicants requiring clarifications of the Prequalification Document shall contact PWA in writing at the address indicated in the ADS. The Authority shall respond in writing to any request for clarification provided that such request is received no later than two (2) weeks before the deadline for submission of Applications. PWA shall communicate to all Applicants full details of the clarification but without identifying its source.
 - 7.2 Should PWA deem it necessary to amend the Prequalification Document as a result of a clarification, it shall do so following the procedure outlined in ITA 8 and in accordance with the provisions of ITA 17.2.
- 8. Amendment of Prequalification Document
 8.1 At any time prior to the deadline for submission of Applications, PWA may amend the Prequalification Document by issuing addenda.
 - 8.2 Any addendum issued shall form part of the Prequalification Document and shall be posted on PWA website and Applicants should regularly check the website for copies of any addenda.
 - 8.3 To give prospective Applicants reasonable time to take an addendum into account in preparing their Applications, the PWA may, at its discretion, extend the deadline for the submission of Applications.

D. Preparation of Applications

9. Cost of Applications
 9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. PWA shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

10. Language of 10.1 The Application, as well as all correspondence and Application documents relating to the prequalification exchanged by the Applicant and PWA, shall be typed in the language specified in the ADS. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified in the ADS, in which case, for the purposes of interpretation of the Application, the translation shall govern. **11. Documents** 11.1 The Application shall comprise the following: Comprising the Application Application Submission Sheet, in accordance with ITA (a) 12: (b) Documentary evidence establishing the Applicant's eligibility to pregualify, in accordance with ITA 13; Documentary evidence establishing the Applicant's (c) qualifications, in accordance with ITA 14; and (d) Any other document required as specified in the ADS. 12. Application 12.1 The Applicant shall prepare the Application Submission Sheet Submission using the form furnished in Section IV, Application Submission Sheet and Sheet and Forms. This shall be completed without any Forms alteration to its format. 12.2 Use font Arial 10 for typing information in the Form. 12.3 If an entry in the Form does not apply to you, type in "Not Applicable." 12.4 The electronic Form is expandable, do not alter the overall layout or any headings. 12.5 Incomplete applications will not be accepted. 13. Documents 13.1 To establish its eligibility in accordance with ITA 4, the Establishing the Applicant shall complete the eligibility declarations in the Eligibility of the Application Submission Sheet and Forms ELI 1.1 and ELI Applicant 1.2, included in Section IV, Application Submission Sheet and Forms. 14. Documents To establish its qualifications to perform the contract or Establishing the contracts in accordance with Section III, Qualification Criteria, Qualifications of the Applicant shall provide the information requested in the Applicant Section IV, Application Submission Sheet and Forms. 15. Signing of the 15.1 The Applicant shall prepare the documents comprising the Application and Application as described in ITA 11. Number of

Copies

The original documents of the Application shall be typed or written and shall be signed by a person, or persons, duly authorized to sign on behalf of the Applicant. In the case of an Association, all parties must sign the documents.

15.2 The Applicant shall submit scanned softcopies on Two (2) labelled DVD's in Pdf format, as specified in the ADS.

E. Submission of Applications

- 16. Sealing and
Identification of
Applications16.1The Applicant shall enclose the scanned copies on Two (2)
labelled DVD's in pdf format of the Application in a sealed
envelope or container that shall:
 - (a) Bear the name and address of the Applicant;
 - (b) Be addressed to PWA, in accordance with ITA 17.1; and
 - (c) Bear the specific identification of this prequalification process indicated in the ADS (ITA 1.1)
 - 16.2 The label should show the project ID number and programme title as "**Prequalification for QHSE Consultancy Service s** and the Applicant's name.
 - 16.3 PWA shall accept no responsibility for not processing any Application that was delivered in an unsealed envelope or not identified as required.
 - 17.1 Applications shall be received by PWA at the address, and no later than the deadline indicated, in Section II ADS.
 - 17.2 PWA may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification Document in accordance with ITA 8, in which case all rights and obligations of PWA and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.
- 18. Late 18.1 Any application received by PWA after the deadline for submission of Applications prescribed in ITA 17 may, at the sole discretion of PWA, be rejected as non-compliant and may return unopened to the Applicant.

17. Deadline for

Submission of

Applications

19. Opening of
Applications19.1PWA shall prepare a record of the opening of Applications, and
this shall include, as a minimum, the name of the Applicant.

F. Procedures for Evaluation of Applications

- **20. Confidentiality** 20.1 Information relating to the evaluation of Applications, and recommendation for prequalification, shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of the outcome of the prequalification process is made to all Applicants.
 - 20.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact PWA on any matter related to the prequalification process, may do so, but only in writing at the address indicated in the ADS.
- 21. Clarification of Applications
 21.1 After the Application submission closing date, PWA may ask any Applicant for clarification. The Applicant shall submit, to the address indicated in the ADS, its reply within seven (7) calendar days after the clarification date or by the date and time set in the Authority's request for clarification. Any request for clarification and all replies shall be in writing.
 - 21.2 If an Applicant does not provide clarification of the information as requested within seven (7) days after the clarification date or by the date and time set in PWA's request for clarification, its Application may, at the sole discretion of PWA, be rejected as non-compliant.
- **22. Responsiveness** of Applications 22.1 PWA at its sole discretion may reject any Application which is not responsive to the requirements of the Prequalification Document.
- 23. Domestic
Applicant Price
Preference23.1Unless otherwise specified in the ADS, a margin of preference
for domestic Applicants shall not apply in the tendering
process resulting from this prequalification.
- 24. Specialist Sub-24.1 Applicants planning to sub-contract any of the activities indicated in Section III, Qualification Criteria, shall specify the contractors activity to be subcontracted in the Application Submission Sheet and clearly identify such activities and the proposed specialist sub-consultant(s) in Form ELI - 1.2 in Section IV: Application Forms. Such proposed specialist subconsultant(s) shall meet the corresponding gualification requirements specified in Section III, Qualification Criteria.
 - 24.2 PWA does not intend to execute specific parts of the deliverables by consultant (s) selected in advance by the PWA (Nominated Consultant) unless otherwise stated in the

ADS.

G. Evaluation of Applications and Prequalification of Applicants

- 25. Evaluation of Applications 25.1 PWA will use all the factors, methods and criteria defined in Section III, Qualification Criteria to evaluate the qualifications of the Applicants and any specialist sub-consultants. PWA reserves the right to consider other factors or waive minor deviations in the qualification criteria if they do not materially affect the capability of the Applicant to perform the scope of deliverables.
 - 25.2 Only the qualifications of specialist sub-consultants that have been identified in the Application may be considered in the evaluation of the Applicant. The general experience and financial resources of sub-consultants may not be evaluated nor added to those of the Applicant for the purposes of prequalification of the Applicant.
 - 25.3 Unless otherwise indicated in the ADS, this prequalification shall be for the QHSE Consultancy Services contract only.
- 26. PWA's Right to Accept or Reject Applications26.1 PWA reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 27. Prequalification of Applicants
 27.1 Prequalification will be based on a combination of "pass-fail" criteria along with a scoring system of the Applicant's qualifications, as demonstrated by the Applicant's responses in the attached Application Submission Sheets and other requested documentation. Specific qualification criteria have been established.
 - 27.2 An Applicant whose Application has met or exceeded the specified qualification criteria set out in Section III, will be prequalified by the PWA. PWA reserves the right to invite a short list of qualified Applicants to invitation to tender.
- 28. Notification of Prequalification28.1 Once PWA has completed the evaluation of the Applications, it shall notify Applicants in writing if they have been prequalified and invited to tender.

- 29. Invitation to Tender29.1 After the notification of the results of the prequalification, PWA shall invite tenders from the short-listed Applicants that have pregualified.
 - 29.2 Tenderers shall be required to provide tender security acceptable to PWA in the form and in the amount specified in any subsequent tender documents. Also, the successful tenderers shall be required to provide a performance security in the form and in the amount specified in the tender documents.
- 30. Changes in Qualifications of Applicants
 30.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27, and invited to tender, shall be subject to the written approval of PWA, prior to the deadline for submission of tenders. Such approval shall be denied if, as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III, Qualification Criteria, or, if in the opinion of PWA, a substantial reduction in competition may result. Any such changes shall be submitted to PWA no later than fourteen (14) days after the date of the invitation to tender.

- 31. Submissions application
- 31.1 Applicants must not exceed 100 typewritten pages in their

This page limit does not apply to attachments specifically requested in the Application Submission Forms, such as articles of incorporation or independently audited financial statements. Text must be only typed in "Arial" font and be no smaller than 10 point, single-spaced with the margins set at 2.5 cm. Page header or footer information may be placed in the margin space. Where provisions are made for the answers, they must be typed in the relevant boxes/space provided in this document. No additional attachments are permitted other than any specific information requested (for example the audited financial information, etc.).

- 31.2 Editable copies of the Prequalification Document will not be made available i.e. Word copies will not be sent out. The Applicant should enter the forms into their own word processing or desktop publishing package in the format shown in the Prequalification document. The Applicant may then add lines to the forms, in order to accommodate the information the Applicant wishes to submit.
- 31.3 All written applications, submissions, questions, queries, communications and the like between Applicants and PWA must be in language specified in the ADS. Applicants must answer all questions as accurately and concisely as possible and monetary values must be stated in Qatari Riyals (QAR). Failure to furnish the required information, make a satisfactory response to any question or supply required documentation will have an impact on the Applicant's score or the Applicant may not be invited to participate further.

Note: The Applicant's attention is drawn to the following mandatory requirements:

(a) Scanned softcopies on Two (2) labelled DVD's in Pdf format as specified in Item ITA 11.1

No other documentation, including brochures about the Applicant or any other additional information and the like should be included in the application. Only include the specific information requested. No boxes or notebooks are to be submitted.

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| SECTION II – APPL | ECTION II – APPLICATION DATA SHEET (ADS) | | | | | | |
|---|--|--|--|--|--|--|--|
| | A. Introduction | | | | | | |
| ITA 1.1 The identification of the invitation for Prequalification is: Prequalification for QHSE Consultancy Services | | | | | | | |
| ITA 1.1The name of the Project is:QHSE Consultancy ServicesProject ID No: QSD 2020 SY 03 G | | | | | | | |
| ITA 4.1 | An Association are allowed. However the specific requirements for an Association are set out in Part 1: Prequalification Procedures and Section III Qualification Criteria must be complied with. | | | | | | |
| ITA 4.11 | ITA 4.11 As per Advertisement | | | | | | |
| B. Prequalification Document | | | | | | | |
| ITA 7.1For clarification purposes only, the PWA's address is:ITA 7.1As per the invitation letter | | | | | | | |
| | C. Preparation of Applications | | | | | | |
| ITA 10.1 The language of the Application, as well as of all correspondence, is English | | | | | | | |
| ITA 15.1, 15.2 | The number of copies to be submitted with the Application is: Scanned Softcopies on Two (2) labelled DVD's in Pdf format, | | | | | | |
| marked with: Prequalification for : QHSE Consultancy Services Project ID: QSD 2020 SY 03 G | | | | | | | |

| D. Submission and Opening of Applications | | | | | | |
|--|---|--|--|--|--|--|
| For application submission purposes only, the PWA's address is: | | | | | | |
| As per the advertisement. | | | | | | |
| The deadline for application submission is: | | | | | | |
| ITA 17.1 As per the advertisement. | | | | | | |
| E. Evaluation of Applications | | | | | | |
| ITA 23.1 No price preferences for domestic tenderers shall be applied. | | | | | | |
| ITA 24.2 | PWA does NOT intend to execute certain specific parts of the scope of work by a consultant selected in advance (nominated sub- consultant/sub-contractor). The specific parts of the scope of work and the respective consultant/contractor are: None | | | | | |

Section III – Qualification Criteria

A. General

Section III identifies the qualification criteria and compliance requirements that PWA shall use to evaluate the Applications. The associated application forms are contained in Section IV of this Prequalification Document. To be prequalified, an Applicant must demonstrate to PWA that it substantially satisfies the requirements regarding experience, personnel, financial position and litigation history, specified herein.

B. Compliance Summary Table

The following table summarizes the information that PWA will use to evaluate the Applications:

- Qualification criteria;
- Compliance requirements; and
- Associated prequalification forms

Further details regarding the qualification criteria are presented in the following Subsection C.

STATE OF QATAR **PUBLIC WORKS AUTHORITY**

| Qualification Criteria | | Compliance Requirements Association | | | | Submission | | |
|------------------------|------------------------------|--|--------------------------|---|--------------------------|----------------------------------|--|--|
| Series No. | Subject | Requirement | Single Entity | All Parties Combined | Each Party | One Party | Requirements | |
| 1. Eligibility | | | | | | | | |
| 1.1 | Conflict of Interest | No conflicts of interest, as described in ITA Sub-item 4.2 | Must meet requirement | Existing or intended entity must meet requirement | Must meet requirement | N/A | Application Submission Sheet - Question (b) | |
| 1.2 | PWA Ineligibility | Not having been declared ineligible by PWA, as described in ITA Sub-item 4.6 | Must meet requirement | Existing entity must meet requirement | Must meet requirement | N/A | Application Submission Sheet - Question (c) | |
| 1.3 | Government Owned Entity | Applicant required to meet conditions of ITA Sub-item 4.7 | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Application Submission Sheet - Questior (d) | |
| 1.4 | Applicant Details | Applicant to provide background details | Applicant must complete | | | Forms ELI - 1.1 and ELI - 1.2 | | |
| 1.5 | Current projects with PWA | Applicants shall not having active contracts with PWA | Must meet requirement | Must meet requirement | Must meet requirement | Must meet requirement | Forms ELI - 1.3 | |

| | Qualification Criteria | | | Compliance | Requirements | | |
|---------------|---|--|--------------------------|--------------------------|--------------------------|-----------------------|----------------|
| | Qualification Officina | | | Association | | | Submission |
| Series No. | Subject | Requirement | Single Entity | All Parties Combined | Each Party | One Party | Requirements |
| 2. License | s and Registrations | · | | | | | |
| 2.1 | Certificate of Registration | Applicant required to meet conditions of ITA Sub-item 4.10 & 4.11 | Must meet requirement | N/A | N/A | Must meet requirement | Form REG - 2.1 |
| 2.2 | Willingness to obtain Registration if appointed | Applicant required to meet conditions of ITA Sub-item 4.10 | Must meet requirement | N/A | N/A | Must meet requirement | Form REG - 2.1 |
| 2.3 | Joint tendering agreement, or evidence of an Association | Entities intending to form an Association, must provide a joint tendering agreement. Existing entities must provide evidence of such Association | N/A | Must meet requirement | Must meet requirement | N/A | Form ELI - 1.1 |

| Compliand | ce Summary Table | | | | | | | |
|---------------|--|---|--------------------------|--------------------------|--------------------------|-----------|--------------|--|
| | Qualification Criteria | | | Compliance Requirements | | | | |
| | | | | | Association | 1 | Submission | |
| Series No. | Subject | Requirement | Single Entity | All Parties Combined | Each Party | One Party | Requirements | |
| 3. Historic | al Contract Non-Perfo | ormance | | | | | | |
| 3.1 | History of Non- Performing Contracts | Non-performance of a contract did not occur within the last three (3) years prior to the deadline for Application submission based on all information on fully settled disputes or litigation | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Form CON-3 | |
| 3.2 | Pending Litigation | All pending litigation shall in total not represent more than Twenty-five percent (25%) of the Applicant's net worth and shall be treated as resolved against the Applicant | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Form CON-3 | |

| Qualification Criteria | | | | Compliance | | | |
|------------------------|--------------------------|---|---------------------------------|-------------------------|--------------------------|-----------|---------------|
| | Quanneatio | | Single | Association | | | Submission |
| Series No. | Subject | Requirement | Entity | All Parties Combined | Each Party | One Party | Requirements |
| 4. Financial | Situation | | | | | | |
| 4.1 | Financial Performance | Submission of independently audited financial statements (in English) for the latest three years indicating the breakdown of local and international turnover | Must meet require ment | N/A | Must meet requirement | N/A | Form FIN- 4.1 |
| 4.2 | Financial Performance | Current soundness of the Applicant's financial position and its prospective long term profitability as measured by average coefficient of current ratio (Current Assets/Current Liabilities) | Must meet require ment | N/A | Must meet requirement | N/A | Form FIN- 4.1 |
| 4.3 | Financial Performance | Current soundness of the Applicant's financial position and its prospective long term profitability as measured by average coefficient of debt ratio (Total Debt/Total Assets) | Must meet require ment | N/A | Must meet requirement | N/A | Form FIN- 4.1 |

| Compliance | e Summary Table | 9 | | | | | | |
|------------------------|------------------------|--|--------------------------|--------------------------|------------|-----------|----------------------------|--|
| Qualification Criteria | | | | Compliance Requirements | | | | |
| | Qualification Criteria | | | Association | | | Submission Requirements | |
| Series No. | Subject | Requirement | Single Entity | All Parties Combined | Each Party | One Party | Requirements | |
| 5. Experien | се | | | | | | | |
| 5.1 | General Experience | Experience in Quality Assurance / Quality Control Consultant in the role Quality Assurance / Quality Control Consultant or association partner during the last five (5) years prior to the Applications submission deadline, Applicants are required to provide details of no more than 3 individual projects. Responses shall be limited to a maximum of one page per example | Must meet requirement | Must meet requirement | N/A | N/A | Form EXP -5.1 | |

| PUBLIC WOI | RKS AUTHORITY | • - | ULTANCY SERVICES | | | | |
|------------|-----------------------------|--|--------------------------|--------------------------|-----|-----|---------------|
| 5.2 | Specific Experience | Experience during the last five (5) years as Quality Assurance / Quality Control Consultant or association partner or sub consultant in the following key activities as specifically outlined in Project Brief, Task 1 – Task 6. Applicants are required to provide no more than two (2) for each of the activities above. | Must meet requirement | Must meet requirement | N/A | N/A | Form EXP -5.2 |
| 6. Personn | el Capabilities | | | | | | |
| 6.1 | Organizational Structure | Provide organizational structure for delivering the services, including defining roles, responsibilities and description of roles | Must meet requirement | Must meet requirement | N/A | N/A | Form RS - 6.1 |
| 6.2 | Proposed Staff CVs | Provide CVs for all key personnel as identified under Section III.C.6 of this document and Form RS - 6.2 | Must meet requirement | Must meet requirement | N/A | N/A | Form RS - 6.2 |
| 6.3 | Resources | Provide details of Resources available | Must meet requirement | Must meet requirement | N/A | N/A | Form RS - 6.3 |

STATE OF QATAR PUBLIC WORKS AUTHORITY

| Compliand | ce Summary Table | | | | | | |
|---------------|-----------------------------------|--|--------------------------|----------------------------|-------------------------------------|-----|---------------|
| | Qualification C | riteria | | Submission Requirements | | | |
| Series No. | Subject Requirement | | Single All Parties | | Association Each Party One Party | | |
| 7. Project | Assurances | | | | | | |
| 7.1 | Health, Safety & Environment Plan | Evidence of Health, Safety & Environment Qualifications | Must meet requirement | N/A | Must meet requirement | N/A | Form HSE -7.1 |
| 7.2 | Quality Management Plan | Evidence of a Quality Management Program | Must meet requirement | N/A | Must meet requirement | N/A | Form QM - 7.2 |

C. Qualification Criteria

The Applicant shall meet the following criteria.

- 1. Eligibility (Series No. 1)
 - (a) Applicants eligible to tender shall meet the criteria list in the Compliance Summary Table. In Section III (B).

2. Licenses and Registrations (Series No. 2)

- (a) Applicants should be registered in one of the professional or trade registers in the country of their principal place of business and relevant to the nature of this contract.
- (b) Applicants should note that in addition to successful prequalification they will be required to meet Qatari Commercial Registration from Ministry of Commerce and Industry and Classification Certificates from Ministry of Finance requirements as a pre-condition to award of any contract.

3. Historical Contract Non-Performance (Series No. 3)

- (a) History of Non-Performing Contracts: The Applicant shall provide accurate information that non-performance of a contract did not occur within the last five years prior to the deadline for Application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Applicant have been exhausted.
- (b) Failure to Sign Contract: The Applicant shall provide accurate information regarding its failure to sign a contract after submitting a tender security and certify that this has not occurred in the past five years. Any deviation should be explained in the referenced application form.
- (c) Litigation History: The Applicant shall provide accurate information on any current or past litigation, arbitration, mediation or adjudication resulting from contracts completed or under execution by him over the last two years. A consistent history of settlement awards against the Applicant or any partner of a joint venture may result in failure of the application.

4. Joint Tendering Agreement –NOTE, on table, have proposed to combine this with Series No. 2 (2.3). Need to verify acceptable to PWA Commercial team. Series No. may be standard PWA requirement.

- (a) Where an Applicant intends to form an Association of companies, the Applicant shall provide evidence of such association by attaching a joint tendering agreement to establish such association to Form ELI 1.1.
- (b) Where an Applicant represents an existing commercial association of companies, the Applicant shall provide evidence of such association by attaching the evidence to Form ELI – 1.1
- (c) The points noted below summaries the requirements for an Association, and the parties within such Association, with reference to the other Subsections of Section III:
 - The Association may comprise a maximum of two (2) parties;
 - Each individual party in an Association may only participate in one Applicant entity;
 - In the case of a successful tender, the form of agreement, shall be

signed so as to be legally binding on all parties;

- One of the parties shall be nominated as being in charge (the "Lead Partner"), and this authorization shall be evidenced by submitting a power of attorney signed by legally authorized signatories of all the parties and attaching this to Form ELI – 1.1;
- The Lead Partner shall be authorized to receive instructions for and on behalf of any and all partners of the entity;
- All parties of the Association shall be liable jointly and severally for the execution of the contract in accordance with the contract terms;
- A copy of the agreement entered into by all parties shall be submitted with an Applicant's tender. Alternatively, a joint tendering agreement to execute an agreement in the event of a successful bid shall be signed by all partners and submitted with the Applicant's tender, together with a draft of the proposed agreement.
- Refer also to the criteria set out in the Compliance Summary Table in Section III, for the combined parties, and each individual party of an Association.
- PWA reserves the right to disqualify an Applicant, if the Applicants Association contains deviations from the above criteria.

5. Financial Situation (Series No. 4)

- (a) The audited balance sheets for the latest three years shall be submitted and must demonstrate the soundness of the Applicant's financial position, showing their long-term profitability. Where necessary, PWA will make inquiries with the Applicant's bankers.
- (b) Based on its audited balance sheets, the Applicant shall demonstrate the current soundness of the Applicant's financial position and its prospective long term profitability as measured by their average coefficient of current ratio (current assets/current liabilities).
- (c) Based on its audited balance sheets, the Applicant shall demonstrate the current soundness of the Applicant's financial position and its prospective long term profitability as measured by their average coefficient of debt ratio (total debt / total assets).

6. General and Specific Experience (Series No. 5)

- (a) The Applicant shall demonstrate its technical expertise and its successful track record in completing projects similar in scope of work as delineated in Part 2 of this Invitation for Prequalification.
- (b) The Applicant shall submit full details of projects using the experience requirements and guidelines presented in the following table for projects that the Applicant considers to be similar in nature to the Services, using Form EXP 5.1.
- (c) The Applicant shall submit full details of projects using the experience requirements and guidelines presented in the following table for projects that the Applicant considers to be similar in nature to the Services, using Form EXP 5.2.
- (d) **Note:** PWA intends to contact any previous client for each reference project submitted by the Applicant. Failure of either the client to respond to PWA's request for reference information in a timely manner will be considered as

an unsatisfactory record of performance.

7. Personnel Capabilities (Series No. 6)

(a) The Applicant must clearly demonstrate its ability to assign competent personnel to the contract and that they possess relevant experience on past projects similar in scope and size to the project for which they are seeking to be prequalified, as delineated in Part 2 of this Invitation for Prequalification.

To demonstrate its understanding of the staffing requirements of the project, the Applicant shall submit a project organizational structure in the form of a diagram with explanatory notes as required in Form RS - 6.1. The organization structure should:

- Identify key positions (both prime and alternate candidates)
- Identify specific roles and a brief list of responsibilities of each position
- Identify key positions to be held by each Partner or any commercial association (if applicable)
- Identify key skills, qualifications, experience for each position
- Provide the names of the prime, and alternate candidate for the key personnel listed in the table below
- (b) To demonstrate the Applicant's compliance with these criteria, the Applicant shall submit professional curricula vitae (CVs) for staff who meet the stated requirements for the key positions presented in the following key personnel table.
- (c) For each key position presented in the table overleaf, the Applicant shall supply information (in Form RS 6.2) on a first choice candidate and on a suitable alternate, each of whom should meet the experience requirements specified in the key personnel table.
- (d) <u>Successful Applicants will be obliged to offer these personnel or equivalent</u> in their tender.
- (e) The Applicant is to provide evidence that it possesses, or has access to, sufficient qualified resources and equipment, available, or who will be become available if the Applicant's tender is successful, to deliver the project for which seeks Prequalification. In this regards, as a minimum the Applicant must provide the information requested on Form RS – 6.3.

8. Project Assurances (Series No. 7)

- (a) PWA requires that all work undertaken on its behalf is carried out safely for all parties. The Applicant must therefore demonstrate that it will allocate resources to enable it to fulfil its statutory obligations for Health and Safety. In this regard, and shall provide the information requested on Form HSE -7.1.
- (b) PWA additionally is committed to ensure a high quality of life for the State of Qatar's citizens by protecting the environment and by delivering its services in a way that respects the earth's natural ecosystems. PWA aims to work with its consultants, contractors and suppliers to help them improve their environmental performance and ensure that, when working for PWA, they adopt equivalent environmental standards. PWA therefore expects its consultants to show evidence of their commitment to the environment by having an environmental policy, which has been endorsed, at the highest level in the company. As a minimum, the Applicant must successfully demonstrate that it has an established and written Environmental Management Policy and provide the information requested on Form HSE – 8.1.
- (c) PWA expects its consultants/contractors to demonstrate their commitment to maintaining a rigorous Quality Management Program, which is endorsed at the highest level in the company. As a minimum, the Applicant must provide evidence of any quality certifications that it possess and to provide the information requested on Form QM – 7.2.

D. Prequalification Evaluation Methodology

Applicants shall meet the following criteria and PWA evaluation panel shall assess the criteria for compliance and pre-determined weighting scoring as listed below.

The minimum scoring threshold mark is seventy per cent (70%). The evaluation panel shall recommend to the Tender Committee for the Applicants to be shortlisted for tender invitation stage.

| | CRITERIA & WEIGHTINGS | | | | | |
|---------|-----------------------------|--|------------------------|---|--|--|
| SECTION | GROUP CRITERIA WEIGHT | GROUP CRITERIA | SUB CRITERIA WEIGHT | SUB CRITERIA | | |
| 1 | _ | Eligibility & Licenses and Registration | Pass/Fail | Submissions for eligibility made as per requirements | | |
| | | | Pass/Fail | Submissions for licenses and registration made as per requirements | | |
| 2 | - | Historical Contract Non Performance | Pass/Fail | Submissions made as per requirements | | |
| 3 | - | Current contracts with PWA | Pass/Fail | No current contracts with PWA. | | |
| 4 | 10% | Financial Stability | 10% | Provided Historic financial information for previous three (3) years | | |
| _ | 000/ | 20% Relevant Experience | 10% | General experience in projects successfully delivered, last five (5) years | | |
| 5 | 20% | | 10% | Specific experience in projects successfully delivered, last five (5) years | | |
| | | | 5% | Organizational structure | | |
| 6 | 50% | Personnel capabilities | 40% | Compliance of CV's for key personnel | | |
| | | | 5% | Details resources available | | |
| 7 | 20% | Project Assurances | 10% | Evidence of HSE Qualifications | | |
| | 20% | 20% Project Assurances | 10% | Evidence of a Quality Management Programme | | |

Section IV. Application Submission Sheet and Forms

Checklist for Application

| The Applican | The Applicant should include this Checklist at the front of their Application | | | | |
|-------------------------------|---|-----------------|-------------------------------------|-----------------|--|
| | | | | | |
| Applicant's F | Reference No | | | | |
| Date & Tim | e Submitted | | | | |
| | | Document | Submittal | | |
| Please use th your submiss | | idicates whet | her forms and documents have been i | ncluded in | |
| | | Symbol | | Symbol | |
| Form is prese | ent | \checkmark | Form is not present | × | |
| Series No | | Cate | gory | Form Present | |
| 1 | Eligibility Requiremen | its | | | |
| 1.1 | Conflict of Interest – Ap | plication Sub | mission Sheet | | |
| 1.2 | Public Works Authority | Ineligibility – | Application Submission Sheet | | |
| 1.3 | Government Owned Er | ntity – Applica | tion Submission Sheet | | |
| 1.4 | Applicant Details – Forr | ms ELI – 1.1. | and ELI - 1.2 | | |
| 1.5 | Current active projects | with PWA – F | Forms ELI – 1.3 | | |
| 2 | Licenses and Registra | ations | | | |
| 2.1 | Company Registration and Classification in Qatar – Form REG – 2.1 | | | | |
| 2.1 | Joint Tendering Agreement or evidence of an Association – Form ELI – 1.1 | | | | |
| 3 | Historical Contract Non Performance | | | | |
| 3.1 | History of Non Performing Contracts –Form Con 3 | | | | |
| 3.2 | Pending Litigation –For | m Con 3 | | | |

| Series No | Category | Form Present |
|-----------|---|-----------------|
| 4 | Financial Situation | |
| 4.1 | Audited Financial Statements - Form FIN – 4.1 | |
| 4.2 | Current soundness of the Applicant's financial position and its prospective long term profitability as measured by average coefficient of current ratio (Current Assets/Current Liabilities) - Form FIN – 4.1 | |
| 4.3 | Current soundness of the Applicant's financial position and its prospective long term profitability as measured by average coefficient of debt ratio (Total Debt / Total Assets) - Form $FIN - 4.1$ | |
| 4.4 | Annual Turnover - Form FIN – 4.12 | |
| 5 | Experience | |
| 5.1 | General and Specific Experience and Track Record - Form EXP- 5.1 & 5.2 | |
| 6 | Personnel Capabilities | |
| 6.1 | Organizational Structure - Form RS- 6.1 | |
| 6.2 | Proposed Key Staff CVs - Form RS- 6.2 | |
| 6.3 | Resources - Form RS – 6.3 | |
| 7 | Project Assurances | |
| 7.1 | Health Safety & Environment Plan - Form HSE – 7.1 | |
| 7.2 | Quality Management Plan - Form QM – 7.2 | |

Application Submission Sheet

| (To he | complete | d by th | e Ani | nlicant) |
|--------|----------|---------|--------|------------|
| | COMPLETE | u by u | ie Apj | uiicai ii) |

Date:

To: Public Works Authority

We, the undersigned, apply to be prequalified for the following project:

Project: QHSE Consultancy Services

| | We, the undersigned declare the | nat: |
|---|---------------------------------|------|
| 1 | | |

- (a) We have examined and have no reservations to the Prequalification Document, and all other documents issued in accordance with ITA Item 8:
- (b) We, including any sub-consultants subject to this Prequalification Document do not have any conflict of interest, in accordance with ITA Item 4.2;
- (c) We, including any sub-consultants subject to this Prequalification Document, have not been declared ineligible by PWA's nor Qatar's laws or official regulations
- (d) We are not a government owned entity.
 - We are a government owned entity and we meet the requirements of ITA Sub-item 4.7.
- (e) We, in accordance with ITA Sub-item 24.1, plan to subcontract the following key activities and/or parts of the services:

| Activities Sub-consultant | | |
|---------------------------|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

| | E OF QATAR IC WORKS A | | PREQUALIFICATION QHSE CONSULTANC | | | |
|-------|--|-------------------------------|-------------------------------------|------|--|--|
| (f) | We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the prequalified Applicants to tender for the contracts subject of this prequalification, without incurring any liability to the Applicants, in accordance with ITA Item 26. | | | | | |
| Cons | sultant or Le | ad Partner | | | | |
| Signe | ed: | | | | | |
| Name | ə: | | in the capacity of | | | |
| Who | is duly autho | rized to sign the application | for, and on behalf of: | | | |
| Appli | cant's Legal | Name | | | | |
| Addre | ess | | | | | |
| | | | | | | |
| Dated | d on | | day of | 2020 | | |
| Partr | ner (2) | | | | | |
| Signe | ed: | | | | | |
| Name | ə: | | in the capacity of | | | |
| Who | is duly autho | rized to sign the application | for, and on behalf of: | | | |
| Appli | cant's Legal | Name | | | | |
| Addre | ess | | | | | |
| | | | | | | |
| Dated | d on | | day of | 2020 | | |
| | - | | | | | |

Note:

• The authorization of the signatories to the Application Submission Sheet shall be evidenced by submitting a power of attorney, which shall be attached this Application Submission Sheet.

Form ELI – 1.1

Applicant Information Sheet

(To be completed by the Applicant)

Date:

Project: Prequalification for QHSE Consultancy Services

| | | Page | of | pages |
|---|-----------------------|------|----|-------|
| Applicant's Legal Name | | | | |
| In the case of an association, the member | e legal name of each | | | |
| Consultant of Lead Partner | | | | |
| Partner (2), if applicable | - | | | |
| | | | | |
| Applicant's actual or intended co | untry of constitution | | | |
| | - | | | |
| Applicant's actual or intended ye | ar of constitution | | | |
| | - | | | |
| Applicant's legal address in cour | ntry of constitution | | | |
| | - | | | |
| Applicant's authorized represent | ative information | | | |
| Name: | | | | |
| Address: | | | | |
| Telephone/Fax numbers | | | | |
| Email address | | | | |
| | | | | |

Attached are copies of the following documents:

| 1. | In case of a single entity, articles of incorporation or documents of constitution of the legal entity named above, in accordance with ITA 4.1 |
|----|---|
| 2. | In the case of an Association, and in accordance with ITA 4.1, entities intending to form an Association, must provide a joint tendering agreement. Existing entities must provide evidence of such Association |
| 3. | In the case of a government-owned entity, any additional documents not covered under 1 above required to comply with ITA 4.7 |

Form ELI – 1.2

| Applicant Information (To be completed by b | | | | |
|--|------------------------|-----------------------|-----------------|--|
| Date: | | | | |
| Project: Prequalifie | cation for QHSE Co | onsultancy Services | \$ | |
| | | Page | of <u>pages</u> | |
| Applicant's Legal Nam | le | | | |
| Partner/Sub-consultan | ıt's legal | | | |
| name | - | | | |
| Partner/Sub-consultan country of constitution | | | | |
| Partner/Sub-consultan constitution | it's year of | | | |
| Partner/Sub-consultan address in country of c | | | | |
| Partner/Sub-consultan | it's authorized repres | sentative informatior | 1 | |
| Name: | | | | |
| Address: | | | | |
| Telephone numbers | | | | |
| Fax numbers | | | | |
| Email address | | | | |

Attached are copies of the following documents:

- 1. Articles of incorporation or documents of constitution of the legal entity named above, in accordance with ITA 4.1)
 - 2. In the case of a government-owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 4.8

 \square

 \square

Form ELI – 1.3

Applicant Information Sheet as per ITA 4.5

(To be completed by both Partners and by all sub-consultants)

Date:

Project: **Prequalification for QHSE Consultancy Services**

Page _____ of ____ pages

Note: This form is to be completed by the Applicant, and if an association, each association member List of current projects with PWA

| Sr. | Projects Title | Start Date | Completion Date |
|-----|----------------|------------|-----------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |

#State nil if the consultant is not having current projects with PWA

Applicant's Statement:

With regards to the any aspect of scope of services to which proposed contract relates whether financial, personal or professional or otherwise, either individually or in conjunction with any third parties we do not have any conflict of interest.

I, the undersigned confirm that we:

| | do not have an | y active | contracts | with I | PWA |
|--|----------------|----------|-----------|--------|-----|
|--|----------------|----------|-----------|--------|-----|

- □ have active contracts with PWA
- # Tick Only One Box as appropriate

(Signature of authorized representative of the Applicant)

Authorized Representative of Applicant:

Signature:

Date:

Form REG – 2.1

Company Registrations

To be completed by Consultant or by both Partners

| 1 5 | in home cou - One A4 she | • | nce to be provided a | as suppor | rting infor | mation in the | |
|--|-----------------------------|-----------------------------|----------------------|-----------|-------------|-----------------------------|--|
| Year established | Country/Ar | ea where r | egistered | | | Registration/License No. | |
| | | | | | | | |
| 2 Registration in Qatar, if it already exists (evidence to be provided as supporting information in the submission – One A4 sheet only) | | | | | | | |
| Year established | Discipline o | of Registrat | ion | | | Registration/License No. | |
| If not registered in Qatar, please provide details of prospective sponsor or details of alternative registration proposal: | | | | | | r details of alternative | |
| Prospective sponsor's name | Address an | Address and Contact Numbers | | | | Type of Business | |
| | | Alterna | ative Registration P | roposal | | | |
| 4 Sub-consult | ants | | | | | | |
| Name | Registered | in Qatar | Discipline | | gistration | | |
| Indiffe | Yes | No | Discipline | / Li | icense No | be carried out | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| 5 Classification | Certificate from Ministry of Finance | |
|------------------|--------------------------------------|--------|
| Category | Acitivity | Grade: |
| | | |

FORM CON – 3 HISTORICAL CONTRACT NON PERFORMANCE SHEET

Date:

Project:

Page____of___pages

Note: This form is to be completed by the Applicant, and if an association, each association member

| No Non-performing contracts in accordance with Item 3.1 of Section III, Qualification Criteria | | | | | | |
|---|--|---|-----------------|--|--|--|
| Non-performing contracts with no pending litigation in accordance with Item 3.2 of Section III, Qualification Criteria | | | | | | |
| Non-performing contracts Qualification Criteria, as | | accordance with Item 3.2 | of Section III, | | | |
| Year | Outcome as Percent of Total Assets | Contract Identification: Total Contract Amount (currenvalue, QAR equivalent) | | | | |
| | | Contract Identification: Name of Employer: Address of Employer: Matter in dispute: | | | | |
| | | Contract Identification: Name of Employer: Address of Employer: Matter in dispute: | | | | |
| | | Contract Identification: Name of Employer: Address of Employer: Matter in dispute: | | | | |

Form FIN – 4.1

Financial Situation

(To be completed by Consultant or by both Partners)

Applicant's Legal Name:

Date:

Project:

Use a separate sheet for each Partner

| Financial Information in QAR ¹ | | | | | | | |
|---|--------------|-------------|-----------|---------|--|--|--|
| | Year 2017 | Year 2018 | Year 2019 | Average | | | |
| Information from Balance Sheet | | | | | | | |
| Total Assets (TA) | | | | | | | |
| Total Liabilities (TL) | | | | | | | |
| Net Worth (NW) | | | | | | | |
| Accounts Receivable (AR) | | | | | | | |
| Current Assets (CA) | | | | | | | |
| Current Liabilities (CL) | | | | | | | |
| Information f | rom Income | e Statement | | | | | |
| Total Revenue (TR) | | | | | | | |
| Operating Profit (EBIT) | | | | | | | |
| Net Revenue (NR) | | | | | | | |
| Fina | ancial Indic | es | | | | | |
| Current Assets/Current Liabilities(Current Ratio) | | | | | | | |
| Operating Profit/Total Revenue (Profit Margin) | | | | | | | |
| Total Revenue/Total Assets (Return on Assets) | | | | | | | |
| Total Liabilities/Total Assets (Debt Ratio) | | | | | | | |

Attached are copies of independently audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- a) Must reflect the financial situation of the Applicant or a party of the Applicant, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified accountant;
- c) Historic financial statements must be complete, including all notes to the independently audited financial statements;
- d) Financial statements must be in English, all translations must be provided with a notarized certification
- e) Historic financial statements must correspond to accounting periods already completed and independently audited (no statements for partial periods shall be requested or accepted);
- f) Note that all financial information shall be treated as confidential by PWA; please refer to ITA item 20.

Attached Additional Information:

Auditor's name, address and fax number

Notes:

- 1. The figures quoted should be based on the currency exchange rates published by the Qatar National Bank, ten working days before the application submission closing date.
 - Based on the following exchange rates

| Currency | Sum | Equivalent in Qatar Riyals |
|---------------|-----|-------------------------------|
| British Pound | £1 | QR5.63 |
| Euro | €1 | QR4.46 |
| US Dollars | \$1 | QR 3.65 |

Form FIN – 4.2

Average Annual Sales Turnover

(To be completed by Consultant or by both Partners)

Applicant's Legal Name:

Date:

Project:

| Average Annual Qatar Partner) ¹ | Furnover Data in Qatar (to be completed | by individual companies of |
|---|---|------------------------------|
| Year | Amount and Currency | QAR equivalent |
| 2017 | | |
| 2018 | | |
| 2019 | | |
| Average Annual 1 | Furnover Data International (To be comp | leted by Non Qatari Partner) |
| Year | Amount and Currency | QAR equivalent |
| 2017 | | |
| 2018 | | |
| 2019 | | |

NOTES:

- 1. Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified. Both international turnover and local Qatar turnover should be provided if applicable.
- 2. The figures for international turnover should be based on the currency exchange rates published by the Qatar National Bank, ten working days before the application submission closing date.
 - Based on the following exchange rates

| Currency | Sum | Equivalent in Qatar Riyals |
|---------------|-----|-------------------------------|
| British Pound | £1 | QR5.63 |
| Euro | €1 | QR4.46 |
| US Dollars | \$1 | QR 3.65 |

PREQUALIFICATION DOCUMENT QHSE CONSULTANCY SERVICES

General Experience

(To be completed by Consultant/ or by both Partners)

Date:

Project:

Page of pages

| Starting Month / Year * | Ending Month / Year | Contract Identification | Role of Applicant |
|-------------------------------|---------------------------|--|----------------------|
| | | Contract name and reference number: Name of Employer: Address of Employer: Please provide names and current contact details (office landline number, mobile number and e-mail address) of two of the Employer's employees at senior management level whom PWA can contact for a technical reference for each Project. | |
| | | Brief Description of the scope of deliverables performed by the Applicant (Max 200 word description per example): | |

Form EXP - 5.1

* List calendar year starting with the earliest year **Note:** The above template shall be replicated for each example

FORM EXP - 5.2

SPECIFIC EXPERIENCE

Date:

| Project: | Page _of _ | pages | |
|---|---|--|----------------|
| Similar Contract Number: _ of required. | Activitie s (Tick all activities applicable to this example) | Development of QA/QC Audit Manuals Development of QHSE scheme Development of performance management systems Auditing construction practices Supply Chain Operation of Static and mobile laboratories Supply of equipment, materials and consumables Technology transfer and trainin Technical support | |
| Contract Identification | | | |
| Award Date Completion Date | | | |
| Role in Contract | Main Consultant | Association Member | Sub-consultant |
| Total Contract Amount | | | QAR |
| If an association member or sub- consultant, specify participation of total contract amount | % | | QAR |
| Employer's Name: | | | |

| STATE OF QATAR | PREQUALIFICATION DOCUMENT |
|---|---------------------------|
| PUBLIC WORKS AUTHORITY | QHSE CONSULTANCY SERVICES |
| Address: | |
| Telephone/fax number: | |
| e-mail: | |
| Please provide names and current contact | |
| details (office landline number, mobile number and e-mail address) of two of the | |
| Employer's employees at senior | |
| management level whom PWA can contact | |
| for a technical reference. | |

FORM EXP – 5.2 (CONT.)

SPECIFIC EXPERIENCE (CONT)

Date:

Project:

| | | | | Page | of | _pages |
|--|-------------|------------|----------------|-------------|----------------|--------|
| Similar Contract No. | of | _ required | Information | | | |
| Project description noting Qualification Criteria | g similarit | y of PWA r | equirements in | Series Numb | per 5.2 of the | 9 |
| | | | | | | |
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STATE OF QATAR PUBLIC WORKS AUTHORITY

PREQUALIFICATION DOCUMENT QHSE CONSULTANCY SERVICES

| | Page | of | pages |
|---|------------|----|-------|
| Example of innovation (max 200 words) | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Lessons learnt & measurable benefit to clients (max 2 | 200 words) | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Form RS – 6.1

Organizational Structure

(To be completed by the Applicant)

Applicant's Legal Name:

Date:

The organizational structure (chart) should be presented in the form of diagrams with explanatory notes and should:

- Identify key positions
- Identify specific roles and a brief list of responsibilities of each position
- Show lines of authority and reporting relationships
- Identify key positions to be held by each member of an Association (if applicable)
- Identify key skills, qualifications, experience for each position
- Provide the names of the prime and alternate candidate for the key personnel listed in the Key Positions table

Note: The organizational structure (chart) and the above required information/details should be limited to four A4 sheets.

Form RS – 6.2

Candidate CVs – Maximum 3 pages per person

(To be completed for each candidate listed under Form RS – 6.1 and the table of Key Positions)

Applicant's Legal Name:

Date:

| Position | | Candidate |
|--------------------------|---|---|
| | | Prime Alternate |
| Candidate Information | Name of Candidate | Date of Birth |
| | Education | Nationality |
| | Total Years of Experience | Languages |
| | Membership in Recognized Internati | onal Organizations/Institutions |
| | representative contact information, type of c | e to include name & location of project, client and ontract, contract value, role in project, period of hnical details to demonstrate similar nature of |
| Present | Name of Employer | |
| Employment | Address of Employer | |
| | Telephone | |
| | Fax | |
| | Email | |
| | Job Title of Candidate | |
| | Years with Present Employer | |
| | Contact (manager / personnel officer) | |

| Employment Record | chronologie | cal order. Ind | licate partic | ular technical a | 10 year in reverse and managerial experience |
|---|-------------|----------------|---------------|------------------|---|
| | From/To | the relevant | project. In | formation to inc | |
| | Company & | & Position | | | |
| | Project Na | | | | |
| | Relevant E | | | | |
| | | • | | | |
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| | | | | | |
| | | | | | |
| Certification I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe me, my qualifications and my experience. | | | | | |
| (Signature of s | staff membe | r and author | ized repres | entative of the | firm) |
| Staff member | name: | | | Signature: | |
| Representativ Applicant: | e of | | | Signature: | |
| Date: | | | | | |
| | - | | | | |

Form RS – 6.3

Resources

(To be completed by the Applicant)

Applicant's Legal Name: Date:

Project: Prequalification for QHSE Consultancy Services

| 1. | How many employees do you directl in the State of Qatar? | ly emp | loy currently who are located | | |
|----|--|---------|----------------------------------|-------------|--|
| 2. | How many employees do you directl in GCC countries? | ly emp | loy currently who are located | | |
| 3. | How many employees do you directly employ currently who are located internationally? | | | | |
| 4. | How many staff do you employ in Qa subject of this application? | atar at | each grade, relevant to the work | that is the | |
| | Management | | | | |
| | Professional | | | | |
| | Technicians | | | | |
| | Supervisor | | | | |
| | Administrative / Clerical | | | | |
| | Other (please specify) | | | | |
| | Other (please specify) | | | | |
| | Total | | | | |
| 5. | Types of Services Offered | | | | |
| | Master Planning / Feasibility Studies | | Project Related Studies | | |
| | Investment Opportunity Studies | | Construction Supervision | | |
| | Market Studies | | Project Management | | |
| | Quantity Surveying | | Transfer of Technology/Training | I | |
| | Engineering Design | | Advisory Services | | |
| | Other (please specify) | | | | |
| | Other (please specify) | | | | |
| | Other (please specify) | | | | |

| 6. | Support Facilities (plea facilities (hardware and | se provide details on your offices, equipment, computing software) in Qatar |
|------|--|---|
| Offi | ce Space | |
| | | |
| | | |
| | | |
| Offi | ce Equipment | |
| | | |
| | | |
| | | |
| Cor | mputer Software | |
| | | |
| | | |
| | | |
| Oth | er Facilities | |
| | | |
| | | |
| | | |
| | | r company uses to ensure all software used by your company is ensed. (Minimum of 200 words) |
| | | |
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| | | |

Form HSE-7.1

Health, Safety and Environment

(To be completed by Prime Consultant or by both Partners)

Applicant's Legal Name: Date:

Project:

Prequalification for QHSE Consultancy Services

of

Page

Pages

| | Health Safety & Environment Criteria | Yes | No |
|---|--|-----|----|
| | (Please Tick Each Box as Appropriate) | res | NO |
| 1 | Does your company have a documented Health & Safety Management System and procedures that address your work practices? If "Yes" please provide an index to your health & safety management system and a list of your health & safety procedures. | | |
| 2 | Is your company Health & Safety Management System certified to OHSAS 18001:2007? If "Yes" provide a copy of your current certificate. If "No", on a separate sheet, please describe if your company has any future plans to achieve certification. | | |
| 3 | Does your company have a documented Environmental Management System and procedures that address your work practices? If "Yes" please provide an index to your environmental management system and a list of your environmental procedures. | | |
| 4 | Is your company Environmental Management System certified to ISO 14001:2004? If "Yes" provide a copy of your current certificate. If "No", on a separate sheet, please describe if your company has any future plans to achieve certification. | | |
| 5 | Does your company have personnel assigned the responsibility of Health, Safety and Environmental Management at the corporate level? Please provide their CV as part of this submission. | | |
| 6 | Does your company have a process or procedure for budgeting for Health, Safety and Environmental Management activities? If "Yes" please provide a copy as part of this submittal. If "No" please describe on a separate sheet, the method that you will apply to ensure that health & safety is adequately budgeted for on this project. | | |
| 7 | Are your senior managers personally committed to HSE through leadership? If "Yes" please describe on a separate sheet, how your senior managers are involved in HSE leadership within your organization and on this project, if you are successful. | | |

| 8 | For the project which the Applicant is applying, please describe the key health and safety hazards associated with the scope of work as envisaged by you, and what measures you will implement to ensure that these hazards are removed, reduced or controlled by the Implementation Contractor and your supervisory staff. (Minimum of 200 words) | | | at ed or | | |
|---|--|---------------|-------------|-------------|-----------|------|
| | | | | | | |
| | Please provide the following safety per | rformance | data: | | | |
| | | 2019 | 2018 | 2017 | 2016 | 2015 |
| | Fatalities | | | | | |
| | Lost Time Injuries (LTI) (>3 days) | | | | | |
| | Total hours worked | | | | | |
| 9 | Accident Frequency Rate (AFR) * | | | | | |
| Ũ | Medical Treatment Injuries | | | | | |
| | Prosecuted for any HSE related offences | | | | | |
| | Prohibition or improvement notices by an enforcement authority | | | | | |
| | *Accident Frequency Rate (AFR) = (To hours worked divided by 100,000) | otal of LTI : | >3 days) di | vided by (| the total | |

Pages

Form QM – 7.2

Quality Management

(To be completed by Prime Consultant or by both Partners)

Applicant's Legal Name:

Date:

of

Project:

Prequalification for <Project Title>

Page

| Question | Quality Management Criteria (Please Tick Each Box as Appropriate) | | No |
|----------|--|--|----|
| 1 | Does your company have a documented Quality Management System and procedures that address your work practices? If "Yes" please provide an index to your quality management system and a list of your quality management procedures. | | |
| 2 | Is your company Quality Management System certified to BS EN ISO 9001:2008? If "Yes" provide a copy of your current certificate. If "No", on a separate sheet, please describe if your company has any future plans to achieve certification. | | |
| 3 | Does your company provide job specific Quality training to your employees? If "Yes" please describe on a separate sheet the procedures. (Minimum 200 words) | | |
| 4 | Does your company have a process to control and monitor the quality of your deliverables? If "Yes" please describe on a separate sheet the processes procedures (Minimum 200 words) | | |
| 5 | Does your company have a process to control and monitor the quality of your subcontractor's or sub-consultant's performance? If "Yes" please describe on a separate sheet the processes procedures (Minimum 200 words) | | |
| 6 | Does your company have a system where knowledge, skills and experience gained by individuals is disseminated, shared and redeployed? If "Yes" please describe on a separate sheet the processes procedures (Minimum 200 words) | | |

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هئية الأشغال العامة PUBLIC WORKS AUTHORITY QUALITY & SAFETY DEPARTMENT

PREQUALIFICATION

FOR

QHSE CONSULTANCY SERVICES

PROJECT ID: QSD 2020 SY 03 G PART 2 - SERVICES REQUIREMENTS

Public Works Authority PO Box 22188 Doha State of Qatar

December 2020

PART 2: SERVICES REQUIREMENTS

REFER ATTACHMENT 1 – SCOPE OF SERVICES

Note: The above documents are not final. PWA reserves the right to make any changes to the documents that do not change the document philosophy, without incurring any liability to Applicants. The final documents will be part of the Tender Documents.

THIS IS ISSUED FOR INFORMATION ONLY

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